## Code of Professional Conduct for NBER Researchers Adopted by NBER Board of Directors, September 2019 Revision Adopted April 2021

The NBER is dedicated to promoting the conduct and dissemination of economic research. It does this by supporting scholars as they carry out research, by convening researchers to share and discuss their latest findings, and by distributing research findings both within and beyond the research community. It is also dedicated to promoting an inclusive culture in the economics profession, providing fair and equal opportunity for participation, and embracing transparent and open discussion.

In advancing these objectives, all NBER affiliates are expected to behave in accord with standard principles of scientific integrity and to abide by codes of professional ethics, such as the American Economic Association's <u>Code of Professional Conduct</u>, when carrying out research, participating in research meetings, interacting with other scholars, and discharging their other professional responsibilities. When attending NBER meetings, researchers are expected to abide by the NBER's <u>Conference Code of Conduct</u>.

NBER affiliates are expected to carry out their research with integrity and to avoid research misconduct, defined to include the fabrication, falsification, or plagiarism of research findings. The NBER's Research Misconduct Policy covers research that is carried out under the NBER's auspices. Researchers are expected to disclose all financial and other relationships that could be perceived as bearing on their research findings: the Research Financial Conflict of Interest Policy covers research conducted under NBER's auspices, while the Research Dissemination Disclosure Policy covers all research disseminated through the NBER. In addition, researchers are expected to treat others in the scientific community, including students, colleagues, research assistants, and collaborators, with respect, and to create an open and inclusive professional culture. They are further expected to refrain from any form of discrimination, harassment, bullying, or retaliation, particularly in situations that may involve power differentials. They must also comply with the NBER's Sexual Harassment Prevention Policy, which proscribes harassment by all NBER employees, including those employed in connection with research grants.

Affiliation with the NBER – as a Research Associate, Faculty Research Fellow, Research Economist, or in any other capacity – is a privilege that enables

the affiliate to participate in a scholarly community. By accepting the invitation to become an NBER affiliate, a researcher agrees to adhere to the standards of professional conduct that the NBER expects of its affiliates. If an affiliate violates these standards, the NBER may, in its sole discretion, consider terminating the affiliation or taking other steps to restrict the affiliate's participation in NBER activities.

Details on how NBER affiliates can report potential violations of this code, involving themselves or other researchers, and a description of the process for reviewing potential violations, can be found <a href="here">here</a>.