

Items Booklet Table of Contents for

Section	Page
Section: Informal Caregiving	1
Section: Retirement and Pension Plan Coverage	10
Section: Annual Income and Retirement Accounts	32
Section: Taxes	40

Items Booklet for

Specification:
Section: Informal Caregiving

Mark One Only

HH01A

There are situations in which people provide regular unpaid care or assistance to a family member or friend who has a long-term illness or a disability.

During the past month, did [fill TEMPNAME] provide any such care or assistance to a family member or friend living here or living elsewhere?

INCLUDE ONLY UNPAID CARE OR ASSISTANCE ACTIVITIES. INCLUDE ONLY THOSE ACTIVITIES MADE NECESSARY BY THE ILLNESS OR DISABILITY OF THE RECIPIENT.

H

- (1) Yes
- (2) No
- @

Mark One Only

HH02

Did [fill TEMPNAME] provide such care or assistance to someone living here in the past month?

- (1) Yes
- (2) No

@

Enter Number

HH03

During the past month, for how many persons living here did [fill TEMPNAME] provide care or assistance?

@ Number

Multiple Entry

HH04

```
[if HH03 ge <3> or HH03 eq <D> or HH03 eq <R>]
  For which person(s) in this household did [fill TEMPNAME] provide
  regular unpaid care or assistance? (Please list only the two
  persons for whom [fill TEMPNAME] provided the most assistance,
  or care in the past month.)
[else]
[if HH03 eq <1> or HH03 eq <2>]
  For which person(s) in this household did [fill TEMPNAME]
  provide regular unpaid care or assistance?
  [endif]
[endif]
```

IF THERE IS ONLY ONE ENTRY, ENTER "N" AFTER THAT ENTRY.

@1 @2

Mark One Only

HH05A

What is [fill PTEMPNAME] relationship to [fill FAMILYNAM]?

- (1) Spouse
- (2) Partner
- (3) Child
- (4) Grandchild
- (5) Parent
- (6) Brother/sister
- (7) Other relative
- (8) Nonrelative

@

Enter Number

HH06A

For how many years [fill HAVHAS] [fill TEMPNAME] provided care or assistance to [fill FAMILYNAM]?

ENTER "0" IF LESS THAN 1 YEAR.

@ Years

Multiple Entry

HH07A

Now think about last month, what kind of care or assistance did [fill TEMPNAME] give to [fill FAMILYNAM]?

Did [fill HESHE]:

(1) Yes (2) No

- a. Help him/her dress, eat, bathe, or get to the bathroom? @1
- b. Help with medical needs such as taking medicines or changing bandages? @2
- c. Help him/her keep track of bills, checks, or other financial matters? @3
- d. Help by taking him/her shopping or to the doctor's office? @4
- e. Help in any other way? Specify @5

Enter Text

HH07A1

Please specify "OTHER" care or assistance provided.

@

Enter Number

HH08A

On average, how many hours a week did [fill TEMPNAME] usually spend providing care or assistance for [fill FAMILYNAM] in the past month?

@ Hours

Mark One Only

HH09A

Did [fill FAMILYNAM] receive similar unpaid care or assistance from anyone other than you in the past month?

- (1) Yes
- (2) No

@

Enter Number

HH10A

Think about the unpaid care and assistance provided by other person(s) in the past month, on average, how many hours per week did [fill FAMILYNAM] usually receive care or assistance?

@ Hours

Mark One Only

HH12A

Sometimes people receive professional home health care services such as visits by nurses or therapists or home health aides. Did [fill FAMILYNAM] receive professional home health services in the past month?

- (1) Yes
- (2) No

@

Enter Number

HH12A1

In terms of professional care or assistance from home health care services, how many hours per week did [fill FAMILYNAM] usually receive in the past month?

@ Hours

Mark One Only

HH05B

What is [fill PTEMPNAME] relationship to [fill FAMILYNAM]?

- (1) Spouse
- (2) Partner
- (3) Child
- (4) Grandchild
- (5) Parent
- (6) Brother/sister
- (7) Other relative
- (8) Nonrelative

@

Enter Number

HH06B

For how many years [fill HAVHAS] [fill TEMPNAME] provided care or assistance to [fill FAMILYNAM]?

ENTER "0" IF LESS THAN 1 YEAR.

@ Years

Multiple Entry

HH07B

Now think about last month, what kind of care or assistance did
[fill TEMPNAME] give to [fill FAMILYNAM]?
Did [fill HESHE]:

(1) Yes (2) No

- a. Help him/her dress, eat, bathe, or get to the bathroom? @1
- b. Help with medical needs such as taking medicines or changing bandages? @2
- c. Help him/her keep track of bills, checks, or other financial matters? @3
- d. Help by taking him/her shopping or to the doctor's office? @4
- e. Help in any other way? Specify @5

Enter Text

HH07B1

Please specify "OTHER" care or assistance provided.

@

Enter Number

HH08B

On average, how many hours a week did [fill TEMPNAME]
usually spend providing care or assistance for [fill FAMILYNAM]
in the past month?

@ Hours

Mark One Only

HH09B

Did [fill FAMILYNAM] receive similar unpaid care or assistance
from anyone other than you in the past month?

- (1) Yes
- (2) No

@

Enter Number

HH10B

Think about the unpaid care and assistance provided by other
person(s) in the past month, on average, how many hours per
week did [fill FAMILYNAM] usually receive care or assistance?

@ Hours

Mark One Only

HH12B

Sometimes people receive professional home health care services
such as visits by nurses or therapists or home health aides. Did
[fill FAMILYNAM] receive professional home health care services
in the past month?

- (1) Yes
- (2) No

@

Enter Number

HH12B1

In terms of professional care or assistance from home health care services, how many hours per week did [fill FAMILYNAM] usually receive in the past month?

@ Hours

Mark One Only

HH13

During the past month, did [fill TEMPNAME] provide any unpaid care or assistance to any persons who lived outside of [fill PTEMPNAME] home?

INCLUDE ONLY UNPAID CARE OR ASSISTANCE ACTIVITIES. INCLUDE ONLY THOSE ACTIVITIES MADE NECESSARY BY THE ILLNESS OR DISABILITY OF THE RECIPIENT.

H

- (1) Yes
(2) No

@

Enter Number

HH14

For how many persons living outside of [fill PTEMPNAME] home did [fill TEMPNAME] provide care or assistance in the past month?

@ Number

Multiple Entry

HH15

```
[if HH14 ge <3> or HH14 eq <D> or HH14 eq <R>]
  What [fill WASWERE] the name(s) of the person(s) outside
  [fill PTEMPNAME] home for whom you provided care or
  assistance? (Please list only the two persons for whom
  [fill TEMPNAME] provided the most assistance in the past month).
[else]
[if HH14 eq <1> or HH14 eq <2>]
  What [fill WASWERE] the name(s) of the person(s) outside
  [fill PTEMPNAME] home for whom you provided care or
  assistance?
```

IF THERE IS ONLY ONE ENTRY, ENTER "N" AFTER THAT ENTRY.

1st Person's Name @1

2nd Person's Name @2

Mark One Only

HH16A

What is [fill PTEMPNAME] relationship to [fill OUTSIDNAM]?

- (1) Spouse
(2) Partner
(3) Child
(4) Grandchild
(5) Parent
(6) Brother/sister
(7) Other relative
(8) Nonrelative

@

Enter Number

HH17A

For how many years [fill HAVHAS] [fill TEMPNAME] provided care or assistance to [fill OUTSIDNAM]?

ENTER "0" IF LESS THAN 1 YEAR.

@ Years

Mark One Only

HH18A

In what type of residence did [fill OUTSIDNAM] live in the past month?
Was it in an ordinary residence, such as a house or apartment, or was it some other type of care facility?

- (1) House or apartment
- (2) Care facility
- (3) Other, specify

@

Enter Text

HH18A1

Please specify "OTHER" type of residence.

@

Multiple Entry

HH19A

What kind of assistance did [fill TEMPNAME] give to [fill OUTSIDNAM]?
Did [fill HESHE]:

(1) Yes (2) No

a. Help him/her dress, eat, bathe, or
get to the bathroom? @1

b. Help with medical needs such as taking
medicines or changing bandages? @2

c. Help him/her keep track of bills, checks,
or other financial matters? @3

d. Help by taking him/her shopping or to
the doctor's office? @4

e. Help in any other way? Specify @5

Enter Text

HH19A1

Please specify "OTHER" type of assistance.

@

Enter Number

HH20A

On average, how many hours a week did [fill TEMPNAME]
usually spend providing care or assistance for [fill OUTSIDNAM]?

@ Hours

Mark One Only

HH21A

During the past month, did [fill OUTSIDNAM] receive similar unpaid care or assistance from any other persons?

- (1) Yes
- (2) No

@

Enter Number

HH21A1

Think about the last month, how many hours per week of unpaid care or assistance did [fill OUTSIDNAM] usually receive from that person?

@ Hours

Mark One Only

HH22A

During the past month, did [fill TEMPNAME] regularly spend time with [fill OUTSIDNAM] in order to provide companionship and emotional support because of his/her long-term illness or disability?

- (1) Yes
- (2) No

@

Mark One Only

HH24A

Sometimes people receive professional home health care services such as visits by nurses or therapists or home health aides. Did [fill OUTSIDNAM] receive professional health care or assistance during the past month?

- (1) Yes
- (2) No

@

Enter Number

HH24A1

In terms of professional care and assistance from home health care services, how many hours per week did [fill OUTSIDNAM] usually receive in the past month?

@ Hours

Mark One Only

HH16B

What is [fill PTEMPNAME] relationship to [fill OUTSIDNAM]?

- (1) Spouse
- (2) Partner
- (3) Child
- (4) Grandchild
- (5) Parent
- (6) Brother/sister
- (7) Other relative
- (8) Nonrelative

@

Multiple Entry

HH17B

For how long [fill HAVHAS] [fill TEMPNAME] provided care or assistance to [fill OUTSIDNAM]?

@2 Years

Mark One Only

HH18B

In what type of residence did [fill OUTSIDNAM] live in the past month? Was it in an ordinary residence, such as a house or apartment, or was it some other type of care facility?

- (1) House or apartment
- (2) Care facility
- (3) Other, specify

@

Enter Text

HH18B1

Please specify "OTHER" type of residence.

@

Multiple Entry

HH19B

What kind of assistance did [fill TEMPNAME] give to [fill OUTSIDNAM][fill HESHE]:

(1) Yes (2) No

a. Help him/her dress, eat, bathe, or
get to the bathroom? @1

b. Help with medical needs such as taking
medicines or changing bandages? @2

c. Help him/her keep track of bills, checks,
or other financial matters? @3

d. Help by taking him/her shopping or to
the doctor's office? @4

e. Help in any other way? Specify @5

Enter Text

HH19B1

Please specify "OTHER" type of assistance.

@

Enter Number

HH20B

On average, how many hours a week did [fill TEMPNAME] usually
spend providing care or assistance for [fill OUTSIDNAM]?

@ Hours

Mark One Only

HH21B

During the past month, did [fill OUTSIDNAM] receive similar unpaid care
or assistance from any other persons?

(1) Yes

(2) No

@

Enter Number

HH21B1

Think about the last month, how many hours per week of unpaid care
or assistance did [fill OUTSIDNAM] usually receive from that person(s)?

@ Hours

Mark One Only

HH22B

During the past month, did [fill TEMPNAME] regularly spend time with
[fill OUTSIDNAM] in order to provide companionship and emotional
support because of this illness or disability?

(1) Yes

(2) No

@

Mark One Only

HH24B

Sometimes people receive professional home health care services such as visits by nurses or therapists or home health aides. Did [fill OUTSIDNAM] receive professional health care or assistance during the past month?

- (1) Yes
(2) No

@

Enter Number

HH24B1

In terms of professional care and assistance from home health care services, how many hours per week did [fill OUTSIDNAM] usually receive in the past month?

@ Hours

Mark One Only

PR1_PR090

Was [fill HISHER] primary source of work related income during the last 4 months from [fill HISHER] job or from [fill HISHER] business?

- (1) Job
- (2) Business

@

Mark One Only

PR3_PR110

I just need to verify some information. Thinking about the location where [fill HESHE] [fill TEMP1], about how many people are employed there by [fill JBNAME]?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only

PR4_PR120

About how many people are employed by [fill JBNAME] at all locations?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only

PR4A_PR121

I just need to verify some information. About how many people are employed by [fill JBNAME]?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Enter Number

PR5_PR130

How many weeks during the year [fill DODOES] [fill HESHE] usually work at [fill JBNAME]? Include paid vacation and sick leave as work time.

@ Weeks

Multiple Entry

PR6_PR140

How long [fill HAVHAS] [fill HESHE] been working for
[fill JBNAME]?

@1 Number

ENTER "1" FOR MONTHS OR "2" FOR YEARS.

- (1) Months
- (2) Years

@2

Mark One Only

PR7_PR150

Now I'd like to ask about retirement plans offered on this job,
not Social Security, but plans that are sponsored by [fill HISHER]
[fill JOBUSA]. This includes regular pension plans as well as
other kinds of retirement plans like thrift and savings plans,
401(k) or 403(b) plans, and deferred profit-sharing and
stock plans.

Does [fill HISHER] [fill JOBUSA] have any kind of pension or retirement
plans for anyone in [fill HISHER] company or organization?

- (1) Yes
- (2) No

@

Mark One Only

PR8_PR160

[fill C_AREIS] [fill HESHE] included in such a plan?

- (1) Yes
- (2) No

@

Multiple Entry

PR9_PR170

Why [fill AREIS] [fill HESHE] not included?

ENTER ALL THAT APPLY.

ENTER "N" AFTER LAST ENTRY.

[fill PR9_1:b](01) No one in my type of job is allowed in the plan	[fill PR9_8:b](08) Employer doesn't contribute, or contribute enough
[fill PR9_2:b](02) Don't work enough hours, weeks or months per year	[fill PR9_9:b](09) Don't plan to be in job long enough
[fill PR9_3:b](03) Haven't worked long enough for this employer	[fill PR9_10:b](10) Don't need it
[fill PR9_4:b](04) Started job too close to retirement date	[fill PR9_11:b](11) Have an IRA or other pension plan coverage
[fill PR9_5:b](05) Too young	[fill PR9_12:b](12) Spouse has pension plan
[fill PR9_6:b](06) Can't afford to contribute	[fill PR9_13:b](13) Haven't thought about it
[fill PR9_7:b](07) Don't want to tie up money	[fill PR9_14:b](14) Some other reason

@1

Enter Text

PR9_ERR

"Don't Know and/or Refused" response not permitted with other answers

Enter (B) to backup

@

Mark One Only

PR10_PR180

Is the plan something like a 401(k) plan, where workers contribute to the plan and their contributions are tax deferred?

- (1) Yes
 - (2) No
- @

Enter Number

PR11_PR190

Some workers participate in more than one retirement plan. For example, they might have a regular pension plan and also have some kind of retirement savings plan.

How many different pension or retirement plans [fill DODOES] [fill HESHE] have on this [fill JOBUS]?

@ Number of plans

Mark One Only

PR12_PR200

[if PR11_PR190 gt <1> or PR11_PR190 eq <D> or PR11_PR190 eq <R>]
The following question is about the plan [fill HESHE] would consider to be [fill HISHER] most important retirement plan on this job.[endif]
There are several types of retirement plans.

In the first type, [fill HISHER] benefit is defined by a formula usually involving [fill HISHER] earnings and years on the job.

In the second type of plan, contributions made by [fill HIMHER] and/or [fill HISHER] employer go into an individual account for [fill HIMHER].

The third type of plan shares some characteristics with the above two plans. In this type of plan, [fill HISHER] employer contributes a value equal to a percent of each of [fill HISHER] earnings each year and there is a rate of return on that contribution. This type of plan is sometimes called a cash balance plan.
SHOW FLASHCARD Z

Which type of plan [fill AREIS] [fill HESHE] in?

- (1) Plan based on earnings and years on the job
 - (2) Individual account plan
 - (3) Cash Balance Plan
- @

H

Mark One Only

PR13_PR210

What is [fill HISHER] second most important plan on this job?
SHOW FLASHCARD Z

- (1) Plan based on earnings and years on the job
 - (2) Individual account plan
 - (3) Cash Balance Plan
- @

H

Mark One Only

PR14_PR220

The following series of questions refer to [fill HISHER] [fill IMPORTANT] plan.

[fill C_DODOES] [fill HESHE] contribute any money to this plan, for example, through payroll deductions?

- (1) Yes
 - (2) No
- @

Mark One Only

PR14A_PR220A

In some plans like 401(k) plans the money [fill HESHE] [fill TEMP1] is tax-deferred. Are [fill HISHER] contributions to this plan tax-deferred?

- (1) Yes
(2) No

@

Mark One Only

PR14B_PR220B

If [fill HESHE] [fill WASWERE] to leave [fill HISHER] [fill JOBUSB] now or within the next few months, could [fill HESHE] eventually receive some benefits from this plan when [fill HESHE] [fill TEMP1] retirement age?

- (1) Yes
(2) No

@

Mark One Only

PR14C_PR220C

If [fill HESHE] left [fill HISHER] [fill JOBUSB] now, could [fill HESHE] get a lump-sum payment from this plan when [fill HESHE] left?

- (1) Yes
(2) No

@

Enter Number

PR15_PR230

How many years [fill HAVHAS] [fill HESHE] been included in this plan?

@ Years

Mark One Only

PR16_PR231

Will [fill HISHER] benefits from this plan be either increased or decreased because [fill HESHE] [fill TEMP1] in the Social Security program?

- (1) Yes
(2) No
(3) Do not participate in Social Security

@

Enter Number

PR17_PR232

How much has [fill HISHER] [fill JOBUSB] contributed to [fill HISHER] plan within the last year?

\$@

Enter Number

PR18_PR233

As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account?

\$@

Mark One Only

PR19_PR234

What is [fill HISHER] best estimate of the amount in [fill HISHER] account?

READ ALL CATEGORIES:

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000
- (6) \$75,001 or more

@

Mark One Only

PR20_PR240

The following series of questions refer to [fill HISHER] second most important pension plan.

[fill C_DODOES] [fill HESHE] contribute any money to this plan, for example, through payroll deductions?

- (1) Yes
- (2) No

@

Mark One Only

PR20A_PR240A

In some plans like 401(k) plans the money [fill HESHE] [fill TEMP1] is tax-deferred. Are [fill HISHER] contributions to this plan tax-deferred?

- (1) Yes
- (2) No

@

Mark One Only

PR20B_PR240B

If [fill HESHE] [fill WASWERE] to leave [fill HISHER] [fill JOBUSB] now or within the next few months, could [fill HESHE] eventually receive some benefits from this plan when [fill HESHE] [fill TEMP1] retirement age?

- (1) Yes
- (2) No

@

Mark One Only

PR20C_PR240C

If [fill HESHE] left [fill HISHER] [fill JOBUSB] now, could [fill HESHE] get a lump-sum payment from this plan when [fill HESHE] left?

- (1) Yes
- (2) No

@

Enter Number

PR21_PR250

How many years [fill HAVHAS] [fill HESHE] been included in this plan?

@ Years

Mark One Only

PR22_PR251

Will [fill HISHER] benefits from this plan be either increased or decreased because [fill HESHE] [fill TEMP1] in the Social Security program?

- (1) Yes
 - (2) No
 - (3) Do not participate in Social Security
- @

Enter Number

PR23_PR252

How much has [fill HISHER] [fill JOBUSB] contributed to [fill HISHER] plan within the last year?

\$@

Enter Number

PR24_PR253

As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account?

\$@

Mark One Only

PR25_PR254

What is [fill HISHER] best estimate of the amount in [fill HISHER] account?

READ ALL CATEGORIES:

- (1) Less than \$5,000
 - (2) \$5,000 to \$10,000
 - (3) \$10,001 to \$25,000
 - (4) \$25,001 to \$50,000
 - (5) \$50,001 to \$75,000
 - (6) \$75,001 or more
- @

Mark One Only

PR26_PR260

I'd like to make sure about a particular type of retirement plan that allows workers to make tax deferred contributions. For example, [fill HESHE] might choose to have [fill HISHER] employer put part of [fill HISHER] salary into a retirement savings account and [fill HESHE] [fill DODOES] not have to pay taxes on this money until [fill HESHE] [fill TEMP1]. These plans are called by different names, including 401(k) plans, pre-tax plans, salary reduction plans and 403(b) plans.

Does [fill HISHER] [fill JOBUSB] offer a plan like this to anyone in [fill HISHER] company or organization?

- (1) Yes
 - (2) No
- @

Mark One Only

PR27_PR270

[fill C_AREIS] [fill HESHE] participating in this plan?

- (1) Yes
 - (2) No
- @

Multiple Entry

PR28_PR280

Why [fill AREIS] [fill HESHE] not included?

ENTER ALL THAT APPLY
ENTER "N" AFTER LAST ENTRY.

[fill PR28_1:b](01) No one in my type of job is allowed in the plan	[fill PR28_8:b](08) Employer doesn't contribute, or contribute enough
[fill PR28_2:b](02) Don't work enough hours, enough	[fill PR28_9:b](09) Don't plan to be in job long
[fill PR28_3:b](03) Haven't worked long enough plan	[fill PR28_10:b](10) Don't need it
[fill PR28_4:b](04) Started job too close to retirement date	[fill PR28_11:b](11) Have an IRA or other pension coverage
[fill PR28_5:b](05) Too young	[fill PR28_12:b](12) Spouse has pension plan
[fill PR28_6:b](06) Can't afford to contribute	[fill PR28_13:b](13) Haven't thought about it
[fill PR28_7:b](07) Don't want to tie up money	[fill PR28_14:b](14) Some other reason

@1

Enter Text

PR28_ERR

"Don't Know and/or Refused" response not permitted with other answers
Enter (B) to backup
@

Mark One Only

PR28A_PR281

Does [fill HISHER] employer provide a matching contribution, or contribute to the plan in any other way?

(1) Yes
(2) No

@

Mark One Only

PR29_PR290

[fill C_DODOES] [fill HESHE] expect to start participating in this plan within the next few years?

(1) Yes
(2) No

@

Multiple Entry

PR30_PR300

[if PR14A_PR220A eq <1> and PR20A_PR240A eq <1>]
Referring to [fill HISHER] most important plan, [endif]
How much [fill DODOES] [fill HESHE] contribute toward this plan?

ENTER (N) IF RESPONDENT MAKES NO CONTRIBUTIONS.

\$ @1
Per: (1) Week
(2) Biweekly
(3) Month
(4) Quarter
(5) Year

@2

OR

@3 Percent of Salary

Mark One Only

PR31_PR310

Does [fill HISHER] [fill JOBUSB] make contributions into this plan?

- (1) Yes
(2) No
@

Mark One Only

PR32_PR320

Does the amount that [fill HISHER] [fill JOBUSB] contributes to the plan depend entirely, partly, or not at all on the amount [fill HESHE] [fill TEMP1]?

- (1) Depends entirely
(2) Depends partly
(3) Not at all
@

Multiple Entry

PR33_PR330

How much does [fill HISHER] [fill JOBUSB] actually contribute to the plan?

- \$ @1
Per: (1) Week
(2) Biweekly
(3) Month
(4) Quarter
(5) Year
@1A

OR

- @2 Percent of Salary

OR

- (6) Contributions out of profits
(7) Contribution varies

@3

Mark One Only

PR34_PR340

[fill C_AREIS] [fill HESHE] able to choose how any of the money in the plan is invested?

- (1) Yes
(2) No
@

Mark One Only

PR35_PR350

[fill C_AREIS] [fill HESHE] able to choose how all of the money is invested, or just part of it?

- (1) All of the money
(2) Part of the money
@

Multiple Entry

PR36_PR360

How are the current contributions to this account being invested?

READ ALL CATEGORIES. ENTER ALL THAT APPLY.
ENTER "N" AFTER LAST ENTRY

[fill PR36_1:b](1) Company stock of [fill HISHER] employer
[fill PR36_2:b](2) Stock funds
[fill PR36_3:b](3) Corporate bonds or bond funds
[fill PR36_4:b](4) Long term interest bearing securities
[fill PR36_5:b](5) Diversified stock and bond funds
[fill PR36_6:b](6) Government securities
[fill PR36_7:b](7) Money market funds
[fill PR36_8:b](8) Other investments
@1

Enter Text

PR36_ERR

"Don't Know and/or Refused" response not permitted with other answers
Enter (B) to backup
@

Mark One Only

PR37_PR370

Of the types of investments just mentioned, which type is where the largest share of current contributions are being invested?

(1) Employer company stock
(2) Stock funds
(3) Corporate bonds or bond funds
(4) Long term interest bearing securities
(5) Diversified stock and bond funds
(6) Government securities
(7) Money market funds
(8) Other investments
(9) Evenly split between types reported
@

Enter Number

PR38_PR380

As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account?

\$@

Mark One Only

PR39_PR390

What is [fill HISHER] best estimate of the amount in [fill HISHER] account?

READ ALL CATEGORIES.

(1) Less than \$5,000
(2) \$5,000 to \$10,000
(3) \$10,001 to \$25,000
(4) \$25,001 to \$50,000
(5) \$50,001 to \$75,000
(6) \$75,001 or more
@

Mark One Only

PR40_PR391

[fill C_HAVHAS] [fill HESHE] ever taken out any money from [fill HISHER] plan in the form of a loan?

(1) Yes
(2) No
@

Mark One Only

PR41_PR392

Does [fill HISHER] plan permit [fill HIMHER] to take out a loan?

- (1) Yes
(2) No
@

Enter Number

PR42_PR393

What is the current outstanding balance due from that loan?

\$@

Mark One Only

PR43_PR394

What is [fill HISHER] best estimate of the amount of the loan?

READ ALL CATEGORIES.

- (1) Less than \$2,500
(2) \$2,500 to \$5,000
(3) \$5,001 to \$10,000
(4) \$10,001 to \$25,000
(5) \$25,001 to \$50,000
(6) \$50,001 or more
@

Mark One Only

PR44_PR400

[fill C_AREIS] [fill HESHE] participating in any pension or retirement plans
offered on any other jobs or businesses [fill HESHE] currently [fill HAVHAS]?

- (1) Yes
(2) No
@

Mark One Only

PR45_PR410

[if RECNT5 lt <1>]
The next questions are about pension or retirement plans
offered by employers or unions. This includes regular pension
plans as well as other kinds of retirement plans, like thrift
and savings plans, 401(K) or 403(b) plans and deferred
profit-sharing and stockplans. Excluding Social Security
[else]
Other than Social Security or the plans we have already talked about
[endif]
[fill HAVHAS] [fill HESHE] ever been covered by a pension or retirement
plan on any previous jobs or businesses?

- (1) Yes
(2) No
@

Mark One Only

PR46_PR420

Are there any previous plans from which [fill HESHE] [fill HAVHAS]
not yet received any benefits, but expect to receive them in the future?

- (1) Yes
(2) No
@

Enter Number

PR47_PR430

How many years did [fill HESHE] work on the job from which [fill HESHE]
[fill TEMPl] to receive this pension?

@ Years

Enter Number

PR47A_PR431

In what year did [fill HESHE] leave that job?

@ Years

Mark One Only

PR48_PR440

Will the amount of [fill HISHER] retirement benefits from that plan be
determined by a formula such as one based on [fill HISHER] earnings and
years of service or will [fill HISHER] benefits be based on the total
amount of money held in an individual account for [fill HIMHER]?

- (1) Based on a formula
- (2) Based on the amount of money in account

@

Enter Number

PR49_PR450

As of the end of [fill MONTH4], what was the total amount of money
in [fill HISHER] account?

\$@

Mark One Only

PR50_PR460

What is [fill HISHER] best estimate of the amount of money in
[fill HISHER] account?

READ ALL CATEGORIES.

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000
- (6) \$75,001 or more

@

Mark One Only

PR51_PR461

Could [fill HESHE] withdraw this money now, or will [fill HESHE]
have to wait until retirement age to get the money?

- (1) Could withdraw money now
- (2) Must wait until retirement

@

Mark One Only

PR52_PR470

[fill C_HAVHAS] [fill HESHE] ever received a lump-sum payment from
a pension or retirement plan from a previous job, including any
lump sums that may have been directly rolled over to another plan
or to an IRA?

- (1) Yes
- (2) No

@

Mark One Only

PR52A_PR471

Why did [fill HESHE] leave that job?

- (1) Laid off
- (2) Retired or old age
- (3) Child care problems
- (4) Other family obligations
- (5) Own illness
- (6) Own injury
- (7) School/training
- (8) Discharged/fired
- (9) Employer bankrupt
- (10) Employer sold business
- (11) Job temporary and ended
- (12) Quit to take another job
- (13) Slack work/business conditions
- (14) Unsatisfactory work arrangements

@

Mark One Only

PR53_PR480

[fill C_HAVHAS] [fill HESHE] ever received survivor benefits in the form of a lump-sum payment from someone else's pension or retirement plan?

- (1) Yes
- (2) No

@

Enter Number

PR54_PR490

Over the years, how many of these lump sum distributions, including rollovers, [fill HAVHAS] [fill HESHE] received?

@ Number

Enter Number

PR55_PR500

[if PR54_PR490 gt <1> or PR54_PR490 eq <R> or PR54_PR490 eq <D>]
Please answer the following questions about [fill HISHER] most recent lump sum or rollover.

[endif]

In what year did [fill HESHE] receive this lump sum or rollover?

@ Year

Mark One Only

PR56_PR510

Did [fill HESHE] also receive any lump sum payments in 2002?

- (1) Yes
- (2) No

@

Mark One Only

PR57_PR520

[if PR56_PR510 eq <1>]
Was the lump sum [fill HESHE] received in 2002
[else]
[if PR56_PR510 eq <2>]
Was the lump sum [fill HESHE] received in 2003
[else]
Was the lump sum
[endif] [endif]
from a private employer or union plan,
from the military, from other Federal employee plans, or from a
State or Local government plan?

(1) Private employer or union plan
(2) Military plan
(3) Other federal plans
(4) State or local government
(5) Other
@

Mark One Only

PR58_PR521

Did [fill HESHE] withdraw the money voluntarily, or did the plan require
[fill HIMHER] to withdraw it?

(1) Voluntarily
(2) Required to withdraw
@

Enter Number

PR59_PR530

What was the total amount of the lump sum or rollover?

\$@

Mark One Only

PR60_PR540

What is [fill HISHER] best estimate of the lump sum or rollover amount?

READ ALL CATEGORIES.

(1) Less than \$5,000
(2) \$5,000 to \$10,000
(3) \$10,001 to \$25,000
(4) \$25,001 to \$50,000
(5) \$50,001 to \$75,000
(6) \$75,001 or more
@

Mark One Only

PR61_PR550

Did [fill HESHE] actually receive the money, or was it directly rolled
over into another plan or to an IRA?

(1) Actually received
(2) Directly rolled over
@

Mark One Only

PR62_PR560

After receiving the lump sum payment, did [fill HESHE] then roll any of
the money over into another retirement plan or into an IRA?

(1) Yes
(2) No
@

Mark One Only

PR63_PR570

Did [fill HESHE] roll it over into another plan on [fill HISHER] job, an individual annuity, an IRA, or some other type of plan?

- (1) Plan on job
 - (2) Individual annuity
 - (3) IRA
 - (4) Other
- @

Mark One Only

PR64_PR571

Did [fill HESHE] roll over the entire amount or just part of it?

- (1) Entire amount
 - (2) Partial amount
- @

Multiple Entry

PR65_PR580

People who receive lump sums may spend or invest the money in many different ways. How did [fill HESHE] use the money from the lump sum [fill HESHE] received?

ENTER ALL THAT APPLY. ENTER "N" AFTER LAST ENTRY.

- | | |
|---|--|
| [fill PR65_1:b](01) Invested in an IRA, annuity, or other retirement program | [fill PR65_8:b](08) Bought a car, boat, furniture, or other consumer items |
| [fill PR65_2:b](02) Put it into a savings account or CDs | [fill PR65_9:b](09) Vacation, travel, or recreation |
| [fill PR65_3:b](03) Invested in other financial instruments (stocks, mutual funds, bonds, money market funds) | [fill PR65_10:b](10) Paid expenses while laid off |
| [fill PR65_4:b](04) Invested in land, other real properties | [fill PR65_11:b](11) Moving or relocation expenses |
| [fill PR65_5:b](05) Invested in own or family business or farm | [fill PR65_12:b](12) Medical or dental expenses |
| [fill PR65_6:b](06) Used for housing (purchase, paid off mortgage, home improvements/repairs) | [fill PR65_13:b](13) Paid or saved for education |
| [fill PR65_7:b](07) Paid bills, loans, or other debts | [fill PR65_14:b](14) General or everyday expenses |
| | [fill PR65_15:b](15) Gave to family members or charities |
| | [fill PR65_16:b](16) Paid taxes |
| | [fill PR65_17:b](17) Saved for retirement expenses |
| | [fill PR65_18:b](18) Saved or invested in other ways |
| | [fill PR65_19:b](19) Spent in other ways |
- @1

Enter Text

PR65_ERR

"Don't Know and/or Refused" response not permitted with other answers
Enter (B) to backup
@

Multiple Entry

PR66_PR600

Earlier [fill HESHE] said [fill HESHE] received some pension or retirement income other than Social Security during the period from [fill MONTH1] through [fill MONTH4]. Will [fill HESHE] continue to receive these benefits for the rest of [fill HISHER] life, or will it be just a limited number of payments, or was it just a single lump sum payment?

ENTER ALL THAT APPLY.

ENTER "N" AFTER LAST ENTRY.

- [fill PR66_1:b](1) Rest of life
 - [fill PR66_2:b](2) Limited number of payments
 - [fill PR66_3:b](3) Lump-sum payment
- @1

Enter Text

PR66_ERR

"Don't Know and/or Refused" response not permitted with other answers
Enter (B) to backup
@

Mark One Only

PR67_PR610

Did [fill HESHE] receive this income from more than one pension plan?

- (1) Yes
 - (2) No
- @

Enter Number

PR68_PR620

How many different plans did [fill HESHE] receive this income from?

@

Mark One Only

PR69_PR640

[if PR67_PR610 eq <1> and PR66_A(<1>) eq <X>]
The following questions refer to the pension or retirement plan that pays the largest amount of lifetime benefits.
[else]
[if PR66_A(<2>) eq <X>]
The following questions refer to the benefits [fill HESHE] [fill AREIS] receiving in a limited number of payments.
[else]
[if PR66_A(<3>) eq <X>]
The following questions refer to the benefits [fill HESHE] received as a lump-sum payment.
[endif] [endif] [endif]
Does this pension benefit come from a job or business that [fill HESHE] held in the past, or does it come from a job or business held by [fill HESHER] former spouse?

- (1) Respondent's job
 - (2) Respondent's former spouse's job
 - (3) Other
- @

Enter Number

PR70_PR650

In what year did [fill HESHE] begin receiving this pension?

@ Year

Mark One Only

PR71_PR660

Was the amount of this pension payment based on years of service and pay, or on the amount of money held in an individual account for [fill HIMHER]?

- (1) Years of service and pay
 - (2) Amount in individual account
- @

Mark One Only

PR72_PR670

Were reduced benefits taken in order to elect a survivor's option?

- (1) Yes
 - (2) No
 - (3) No survivor's option offered
- @

Mark One Only

PR73_PR680

Has the amount of [fill HESHER] pension ever increased for any reason?

- (1) Yes
 - (2) No
- @

Mark One Only

PR74_PR690

Does [fill HISHER] pension plan provide for automatic cost-of-living adjustments known as COLA's?

- (1) Yes
(2) No
@

Mark One Only

PR75_PR700

Did the amount of [fill HISHER] pension payment ever decrease for any reason?

- (1) Yes
(2) No
@

Enter Number

PR76_PR710

How much did [fill HESHE] receive from this plan each month when [fill HESHE] first began receiving the pension payment?

\$@

Enter Number

PR77_PR720

How much [fill DODOES] [fill HESHE] currently receive EACH MONTH from this plan?

\$@

Mark One Only

PR78_PR730

Now I have some questions about [fill HISHER] most recent lump sum payment. Did this payment come from a job or business [fill HESHE] held in the past, or did it come from a job or business held by [fill HISHER] former spouse?

- (1) Respondent's former job
(2) Respondent's former spouse's job
(3) Other
@

Mark One Only

PR79_PR740

[fill C_HAVHAS] [fill HESHE] ever retired from a job or business?

- (1) Yes
(2) No
@

Mark One Only

PR80_PR750

[fill C_HAVHAS] [fill HESHE] ever worked for pay as much as five years or more?

- (1) Yes
(2) No
@

Mark One Only

PR81_PR751

[if PR79_PR740 eq <1>]
Did [fill HESHE] retire from a job or from a business?
[else]
[if PR80_PR750 eq <1>]
Was [fill HISHER] longest employment on a job or in a business?
[else]
Did this pension benefit come from a job or from a business?
[endif] [endif]

- (1) Job
(2) Business
@

Mark One Only

PR82_PR760

```
[if PR66_A(<1>) ne <> or PR66_A(<2>) ne <> or PR66_A(<3>) ne <>]
The next questions are about the job from which [fill HESHE] received this
pension or retirement income.
[else]
[if PR78_PR730 ne <>]
The next questions are about the job from which [fill HESHE] received this
most recent lump-sum payment.
[else]
[if PR79_PR740 eq <1>]
The next questions are about the job from which [fill HESHE] retired.
[else]
[if PR80_PR750 eq <1>]
The next questions are about the job on which [fill HESHE] worked the
longest.
[endif] [endif] [endif] [endif]
```

What type of organization was that?

- (1) A Government organization (including Armed Forces)
 - (2) A Private for profit Company
 - (3) A non-profit organization including tax-exempt and charitable organizations
 - (4) A family business or farm?
- @

Mark One Only

PR83_PR770

Was that Federal Government, State Government, Local Government, or active duty Armed Forces?

- (1) Federal Government (civilian)
 - (2) State Government
 - (3) Local Government (county, city, township)
 - (4) Active duty Armed Forces
- @

Enter Text

PR84_PR780

What was the main function or activity of the government organization that [fill HESHE] worked for ?

@

Mark One Only

PR85_PR781

Did [fill HESHE] work as a paid or unpaid employee for the family business or farm?

- (1) For pay
 - (2) Unpaid worker
- @

Enter Text

PR86_PR790

What kind of business or industry was that?

READ IF NECESSARY:

What did they make or do where [fill HESHE] worked?

@

Mark One Only

PR87_PR810

Was it mainly?

- (1) Manufacturing
 - (2) Wholesale Trade
 - (3) Retail Trade
 - (4) Service
 - (5) Some other kind of business?
- @

Enter Text

PR88_PR820

What kind of work [fill WASWERE] [fill HESHE] doing on that job,
that is, what was [fill HISHER] occupation?

For example: Bookkeeper, Plumber, Press operator

@

Enter Text

PR89_PR830

What were [fill HISHER] usual activities or responsibilities on that job?

For example: Keeping account books, repairing pipes, operating
printing presses

@

Mark One Only

PR90_PR840

Did [fill HISHER] employer operate in more than one location?

- (1) Yes
 - (2) No
- @

Mark One Only

PR91_PR850

How many people were employed at the location where [fill HESHE] worked?

- (1) less than 10
 - (2) 10 to 24
 - (3) 25 to 49
 - (4) 50 to 99
 - (5) 100 to 249
 - (6) 250 to 499
 - (7) 500 to 999
 - (8) 1,000 or more
- @

Mark One Only

PR92_PR860

```
[if PR90_PR840 eq <1> and PR91_PR850 ne <8>]
About how many people were employed by that employer
at all locations?
[else]
[if PR90_PR840 eq <2> or PR90_PR840 eq <R> or PR90_PR840 eq <D>]
About how many people were employed by that employer?
[endif] [endif]
```

- (1) less than 10
 - (2) 10 to 24
 - (3) 25 to 49
 - (4) 50 to 99
 - (5) 100 to 249
 - (6) 250 to 499
 - (7) 500 to 999
 - (8) 1,000 or more
- @

Mark One Only

PR93_PR870

When [fill HESHE] worked for that employer, [fill WASWERE] [fill HESHE]
covered under a union or employee association contract?

- (1) Yes
(2) No
@

Enter Number

PR94_PR880

How many hours per week did [fill HESHE] usually work at that job?

@ Hours

Enter Number

PR95_PR890

How many weeks during the year did [fill HESHE] usually work at that job?
Include paid vacation and sick leave as work time.

@ WEEKS

Enter Number

PR96_PR900

How many years did [fill HESHE] work at that job?

@ Years

Enter Number

PR97_PR910

In what year did [fill HESHE] leave that job?

@ Year

Multiple Entry

PR98_PR920

When [fill HESHE] left that job, how much [fill WASWERE] [fill HESHE]
earning before deductions for taxes, etc?

\$ @1
Per: (1) Week
(2) Biweekly
(3) Month
(4) Year
@2

Mark One Only

PR99_PR940

[fill C_AREIS] [fill HESHE] now covered by a health plan provided
through [fill HISHER] former employer?

- (1) Yes
(2) No
@

Enter Text

PR100_PR950

```
[if PR66_A(<1>) ne <> or PR66_A(<2>) ne <> or PR66_A(<3>) ne <>]
The next questions are about the business from which [fill HESHE] received
this pension or retirement income.
[else]
[if PR78_PR730 ne <>]
The next questions are about the business from which [fill HESHE] received
this most recent lump-sum payment.
[else]
[if PR79_PR740 eq <1>]
The next questions are about the business from which [fill HESHE] retired.
[else]
[if PR80_PR750 eq <1>]
The next questions are about the business which [fill HESHE] operated for
the longest time.
[endif] [endif] [endif] [endif]
What kind of business was that?

READ IF NECESSARY: What did the business do or make?

@
```

Mark One Only

PR101_PR951

Was this business mainly...

- (1) Manufacturing
- (2) Wholesale Trade
- (3) Retail Trade
- (4) Service
- (5) Some other kind of business?

@

Enter Text

PR102_PR952

What kind of work [fill WASWERE] [fill HESHE] doing at that business,
that is, what was [fill HISHER] occupation?

For example: Sales manager, dentist, farmer

@

Enter Text

PR103_PR953

What were [fill HISHER] usual activities or responsibilities at that
business?

For example: Managing sales, repairing teeth, farming

@

Mark One Only

PR104_PR954

```
macro retire_tm in time.mac
```

What was the maximum number of people [fill HESHE] employed, including
[fill SELF], who worked at this business at any one time?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only

PR105_PR955

Was this business incorporated?

- (1) Yes
- (2) No
- @

Enter Number

PR106_PR956

How many hours per week did [fill HESHE] usually work at that business?

@ Hours

Enter Number

PR107_PR957

How many weeks during the year did [fill HESHE] usually work at that business? Include paid vacation and sick leave as work time.

@ WEEKS

Enter Number

PR108_PR958

How many years did [fill HESHE] work at that business?

@ Years

Enter Number

PR109_PR959

In what year did [fill HESHE] leave that business?

@ Year

Multiple Entry

PR110_PR960

When [fill HESHE] left that business, how much [fill WASWERE] [fill HESHE] earning before deductions for taxes, etc?

\$ @1
Per: (1) Week
(2) Biweekly
(3) Month
(4) Year
@2

Mark One Only

PR111_PR970

[fill C_AREIS] [fill HESHE] now covered by a health plan provided through [fill HISHER] former business?

- (1) Yes
- (2) No
- @

Mark One Only

PR112_PR980

Compared to the standard of living [fill HESHE] had in [fill HISHER] early fifties, would [fill HESHE] say that [fill HISHER] current standard of living is...

READ ALL CATEGORIES.

- (1) Much better
- (2) Somewhat better
- (3) About the same
- (4) Somewhat worse
- (5) Much worse
- @

Mark One Only

AIRA002_BUS97A

Earlier you told me [fill TEMPNAME] operated
(Read all business names during 2004). Did [fill TEMPNAME] own and
operate any other businesses during 2004?

- (1) Yes
(2) No

@

Mark One Only

AIRA003_BUS97B

Did [fill TEMPNAME] own and operate any businesses during 2004?

- (1) Yes
(2) No

@

Multiple Entry

AIRA004_BUSNAM

What was the name of these businesses?

ENTER (N) AFTER LAST BUSINESS

Business 1: @1
Business 2: @2
Business 3: @3
Business 4: @4
Business 5: @5

Mark One Only

AIRA_BUSLIS

Businesses listed in prior interviews
[roster begin bus]
BUSINESS NAME = [fill I_ALLBUS:1]

Businesses listed this interview
[if AIRA004_BUSNAM@1 valid] [fill AIRA004_BUSNAM@1] [endif]
[if AIRA004_BUSNAM@2 valid] [fill AIRA004_BUSNAM@2] [endif]
[if AIRA004_BUSNAM@3 valid] [fill AIRA004_BUSNAM@3] [endif]
[if AIRA004_BUSNAM@4 valid] [fill AIRA004_BUSNAM@4] [endif]
[if AIRA004_BUSNAM@5 valid] [fill AIRA004_BUSNAM@5] [endif]

PRESS "ENTER" TO CONTINUE

@

[@] [nodata]

Multiple Entry

AIRA006_TWOBUS

Businesses listed in prior interviews
[roster begin bus]
BUSINESS NAME = [fill I_ALLBUS:1]
[roster end bus]

Businesses listed this interview
[if AIRA004_BUSNAM@1 valid] [fill AIRA004_BUSNAM@1] [endif]
[if AIRA004_BUSNAM@2 valid] [fill AIRA004_BUSNAM@2] [endif]
[if AIRA004_BUSNAM@3 valid] [fill AIRA004_BUSNAM@3] [endif]
[if AIRA004_BUSNAM@4 valid] [fill AIRA004_BUSNAM@4] [endif]
[if AIRA004_BUSNAM@5 valid] [fill AIRA004_BUSNAM@5] [endif]

Which two of the businesses yielded the largest net incomes
during 2004?

ENTER (N) IF NO SECOND BUSINESS
ENTER (N) AFTER LAST BUSINESS

Business 1 @1
Business 2 @2

Mark One Only

AIRA007_BS1FRM

[if AIRA006_TWOBUS@1 valid]
BUSINESS1 = [fill AIRA006_TWOBUS@1]

What was the form of this (business/practice) - was it a sole proprietorship, a partnership, or a corporation?

- (1) Sole proprietorship
- (2) Partnership
- (3) Corporation

@

Mark One Only

AIRA008_BS1LOC

Was this business primarily located in [fill PTEMPNAME] own home or somewhere else?

- (1) Own home
- (2) Somewhere else

@

Mark One Only

AIRA010_BS1OWN

Were any other members of this household part owners of this (business/practice)?

- (1) Yes
- (2) No

@

Multiple Entry

AIRA011_BS1WHO

Which other household members were owners?

ENTER LINE NUMBER OF PERSON

- @1 Person
- @2 Person

Mark One Only

AIRA013_BS1HH

Was this (business/practice) owned entirely by members of this household?

- (1) Yes
- (2) No

@

Enter Number

AIRA014_BS1PCT

What percentage of this (business/practice) was owned by members of this household?

@ Percent

Enter Number

AIRA015_BS1PTO

What percentage of this (business/practice) did [fill TEMPNAME] own in [fill HISHER] own name?

@ Percent

Enter Number

AIRA016_BS1PCT

What were the gross receipts of this (business/practice) in 2004?

@ Dollars

Enter Number

AIRA017_BS1EXP

What were the total expenses of this (business/practice) in 2004?

@ Dollars

Multiple Entry

AIRA021_BS1NET

What was [fill PTEMPNAME] net income from this (business/practice) in 2004?
Please use records if they are available. (Obtain estimate if necessary.)

ENTER (N) FOR NONE OR NO MORE

@1 Profit
OR
@2 Loss

Mark One Only

AIRA024_BS1OTH

Apart from the net income already reported for [fill TEMPNAME], did other household owners receive any net income in 2004 from this (business/practice)?

- (1) Yes
(2) No

@

Multiple Entry

AIRA025_BS1AMT

What was the amount of net income that was received by [fill AIRA011_BS1WHO@1(X)]

ENTER (N) FOR NONE OR NO MORE

@1 Profit
OR
@2 Loss

Multiple Entry

AIRA026_BS1NTO

What was the amount of net income that was received by [Fill AIRA011_BS1WHO@2(X)]

ENTER (N)FOR NONE OR NO MORE

@1 Profit
OR
@2 Loss

Mark One Only

AIRA027_BS2FRM

[if AIRA006_TWOBUS@2 valid]
BUSINESS2 = [fill AIRA006_TWOBUS@2]

What was the form of this (business/practice) - was it a sole proprietorship, a partnership, or a corporation?

- (1) Sole proprietorship
(2) Partnership
(3) Corporation

@

Mark One Only

AIRA028_BS2LOC

Was this business primarily located in [fill PTEMPNAME] own home or
somewhere else?

- (1) Own home
(2) Somewhere else

@

Mark One Only

AIRA030_BS2OWN

Were any other members of this household part owners of this
(business/practice)?

- (1) Yes
(2) No

@

Multiple Entry

AIRA031_BS2WHO

Which other household members were owners?

ENTER LINE NUMBER OF PERSON

- @1 Person
@2 Person

Mark One Only

AIRA032_BS2HH

Was this (business/practice) owned entirely by members of this
household?

- (1) Yes
(2) No

@

Enter Number

AIRA033_BS2PCT

What percentage of this business/practice) was owned by members of
this household?

@ Percent

Enter Number

AIRA034_BS2PTO

What percentage of this (business/practice) did [fill TEMPNAME] own
in [fill HISHER] own name?

@ Percent

Enter Number

AIRA035_BS2PCT

What were the gross receipts of this (business/practice) in 2004?

@ Dollars

Enter Number

AIRA036_BS2EXP

What were the total expenses of this (business/practice) in 2004?

@ Dollars

Multiple Entry

AIRA038_BS2NET

What was [fill PTEMPNAME] net income from this (business/practice) in 2004?

Please use records if they are available. (Obtain estimate if necessary.)

ENTER (N) FOR NONE OR NO MORE

@1 Profit
OR
@2 Loss

Mark One Only

AIRA040_BS2OTH

Apart from the net income already reported for [fill TEMPNAME], did other household owners receive any net income in 2004 from this (business/practice)?

- (1) Yes
(2) No

@

Multiple Entry

AIRA041_BS2AMT

What was the amount of net income that was received by [fill AIRA032_BSWHO@1(X)]

ENTER (N) FOR NONE OR NO MORE

@1 Profit
OR
@2 Loss

Multiple Entry

AIRA042_BS2NTO

What was the amount of net income that was received by [fill AIRA032_BSWHO@2(X)]

ENTER (N) FOR NONE OR NO MORE

@1 Profit
OR
@2 Loss

Multiple Entry

AIRA052_NETOBS

What was [fill TEMPNAME] net income from [fill HISHER] other businesses in 2004?

ENTER (N) FOR NONE OR NO MORE

@1 Profit
OR
@2 Loss

Mark One Only

AIRA053_IRA

[fill C_DODOES] [fill TEMPNAME] have an Individual Retirement Account, that is, an IRA, in [fill HISHER] own name?

- (1) Yes
(2) No

@

Mark One Only

AIRA054_IRACON

Did [fill TEMPNAME] make any tax-deductible contributions to IRA accounts which applied to [fill HISHER] 2004 tax return?

- (1) Yes
(2) No

@

Enter Number

AIRA055_IRAAMT

How much were [fill PTEMPNAME] tax-deductible contributions to IRA accounts which applied to [fill HISHER] 2004 tax return?

@ Amount

Mark One Only

AIRA056_IRAWD

Did [fill TEMPNAME] make any withdrawals from [fill HISHER] IRA accounts during 2004?

- (1) Yes
(2) No

@

Enter Number

AIRA057_IRAWAT

How much did [fill TEMPNAME] withdraw from IRA accounts during 2004?

@ Amount

Enter Number

AIRA058_IRAERN

Including all IRA accounts in [fill PTEMPNAME] own name, how much did [fill HISHER] IRA accounts earn during 2004?

ENTER (N) NONE

@ Amount

Multiple Entry

AIRA059_IRAAST

What types of assets did [fill TEMPNAME] have in [fill HISHER] IRA accounts?

MARK ALL THAT APPLY

- (1) Yes (2) No

- @1 Certificates of deposit or other savings certificates
@2 Money market funds
@3 U.S. Government securities
@4 Municipal or corporate bonds
@5 U.S. Savings Bonds
@6 Stocks or mutual fund shares
@7 Other assets

Mark One Only

AIRA060_KEO

[fill C_DODOES] [fill TEMPNAME] have a Keogh account in [fill HISHER] own name?

- (1) Yes
(2) No

@

Mark One Only

AIRA061_KEOCON

Did [fill TEMPNAME] make any tax-deductible contributions to a Keogh account which applied to [fill HISHER] 2004 tax return?

- (1) Yes
(2) No

@

Enter Number

AIRA062_KEOAMT

How much were [fill PTEMPNAME] tax-deductible contributions to Keogh accounts which applied to [fill HISHER] 2004 tax return?

@ Amount

Mark One Only

AIRA063_KEOWD

Did [fill TEMPNAME] make any withdrawals from [fill HISHER] Keogh accounts during 2004?

- (1) Yes
(2) No

@

Enter Number

AIRA064_KEOWAT

How much did [fill TEMPNAME] withdraw from Keogh accounts during 2004?

@ Amount

Enter Number

AIRA065_KEOERN

Including all Keogh accounts in [fill PTEMPNAME] own name, how much did [fill PTEMPNAME] Keogh accounts earn during 2004?

ENTER(N)FOR NONE

@ Amount

Multiple Entry

AIRA066_KEOAST

What type of assets did [fill TEMPNAME] have in [fill HISHER] Keogh accounts?

MARK ALL THAT APPLY

- (1) Yes (2) No

- @1 Certificates of deposit or other savings certificates
@2 Money market funds
@3 U.S. Government securities
@4 Municipal or corporate bonds
@5 U.S. Savings Bonds
@6 Stocks or mutual fund shares
@7 Other assets

Mark One Only

AIRA068_401

During 2004, did [fill TEMPNAME] participate in an employee thrift plan such as a 401k plan? Such a plan allows employees to defer part of their salary and not have to pay taxes on their deferred salary until they retire or make a withdrawal.

- (1) Yes
(2) No

@

Enter Number

AIRA069_401CON

How much did [fill TEMPNAME] contribute to this plan during 2004?

ENTER (N) FOR NONE

@ Amount

Mark One Only

AIRA070_401WD

Did [fill TEMPNAME] make any withdrawals from [fill HISHER] 401k plan during 2004?

(1) Yes

(2) No

@

Enter Number

AIRA072_401WAT

How much did [fill TEMPNAME] withdraw from 401k plan accounts during 2004?

@ Amount

Enter Number

AIRA073_401ERN

Including all 401k plan accounts in [fill HISHER] own name, how much did [fill HISHER] 401k plan accounts earn during 2004?

ENTER (N) NONE

@ Amount

Multiple Entry

AIRA074_401AST

What types of assets did [fill TEMPNAME] have in [fill HISHER] 401k plan accounts?

MARK ALL THAT APPLY

(1) Yes (2) No

@1 Money market funds

@2 U.S. Government securities

@3 Municipal or corporate bonds

@4 Stocks or mutual fund shares

@5 Other assets

Mark One Only

ARRECUSE

DO NOT READ TO RESPONDENT

Did respondent use any records when reporting the amount of annual income received or income received from retirement accounts?

(1) Yes

(2) No

@

Mark One Only

TAX002_FILE

Did [fill TEMPNAME] file a Federal income tax return for 2005?

- (1) Yes
(2) No
@

Mark One Only

TAX003_COPY

Do you have a copy of [fill PTEMPNAME] tax form or a worksheet that you could refer to for the next few questions?

- (1) Yes
(2) No
@

Mark One Only

TAX004_STATUS

What was [fill PTEMPNAME] filing status on [fill HISHER] 2005 Federal tax return?

LINES 1-5 ON FORMS 1040 or 1040A

- (1) Single taxpayer
(2) Married, filing joint return
(3) Married, filing separately
(4) Unmarried head of household
(5) Qualifying widow(er) with dependent child
@

Enter Number

TAX005_EXEMP

What were the total number of exemptions claimed on [fill PTEMPNAME] return?

LINE 6d ON FORMS 1040 OR 1040A

Enter number of exemptions:@

Multiple Entry

TAX007_EXEMHH

Besides [fill TEMPNAME], which persons in this household did [fill TEMPNAME] claim as an exemption?

ENTER LINE NUMBER OF PERSON COVERED

ENTER (A) FOR ALL PERSONS COVERED

ENTER (N) FOR NONE OR NO MORE

@1 @2 @3 @4 @5 @6 @7 @8 @9 @10
@11 @12 @13 @14 @15 @16 @17 @18 @19 @20
@21 @22 @23 @24 @25 @26 @27 @28 @29 @30

Mark One Only

TAX008_EXMOUT

Did [fill TEMPNAME] claim exemptions for any persons who lived outside of [fill HISHER] home for the entire year?

- (1) Yes
(2) No
@

Enter Number

TAX008B_EXEMNO

How many persons who lived outside of the household did [fill TEMPNAME] claim exemptions for the entire year?

Enter number of persons exemptions outside of the household:@

Multiple Entry

TAX009_RELATE

What was the relationship of this person/these persons
to [fill TEMPNAME]?

ENTER (N) FOR NONE OR NO MORE

- (1) Parent
- (2) Child
- (3) Brother/Sister
- (4) Other

@1 @2 @3 @4 @5 @6 @7 @8 @9 @10

Mark One Only

TAX011_FORM

Did [fill TEMPNAME] file form 1040, the long form or did
[fill TEMPNAME] file one of the short forms, 1040A or 1040EZ?

- (1) Form 1040
- (2) Form 1040A
- (3) Form 1040EZ

@

Mark One Only

TAX012_SCHEDA

Did [fill TEMPNAME] file a Schedule A, Itemized Deduction,
with [fill HISHER] 2005 tax return?

- (1) Yes
- (2) No

@

Mark One Only

TAX013_SCHEDD

Did [fill TEMPNAME] file Schedule D, Capital Gains and Losses,
with [fill HISHER] 2005 tax return?

- (1) Yes
- (2) No

@

Enter Number

TAX017_ITEMIZ

[if TAX004_STATUS eq <2>]
How much were [fill HISHER] (and [fill HISHER] spouse's) itemized
deductions for 2005?

[else]
How much were [fill HISHER] itemized deductions for 2005?
[endif]

LINE 38 OF FORM 1040

Amount: \$@

Mark One Only

TAX018_DEPEND

Did [fill TEMPNAME] claim a child and dependent care expense
credit in 2005?

LINE 46 ON FORM 1040; LINE 29 ON FORM 1040A

- (1) Yes
- (2) No

@

Enter Number

TAX019_DEPAMT

What was that amount?

Amount:\$@

Multiple Entry

TAX19B_DPHH

For which persons did you claim this exemption?

ENTER LINE NUMBER OF PERSON COVERED
ENTER (A) FOR ALL PERSONS COVERED
ENTER (N) FOR NONE OR NO MORE

@1 @2 @3 @4 @5 @6 @7 @8 @9 @10
@11 @12 @13 @14 @15 @16 @17 @18 @19 @20
@21 @22 @23 @24 @25 @26 @27 @28 @29 @30

Mark One Only

TAX020_CREDIT

Did [fill TEMPNAME] claim a credit for the elderly or the disabled in 2005?

LINE 47 ON FORM 1040; LINE 30 ON FORM 1040A

(1) Yes
(2) No

@

Enter Number

TAX021_CRDAMT

What was that amount?

Amount:\$@

Enter Number

TAX023_GAINS

[if TAX004_STATUS eq <2>]
How much were [fill PTEMPNAME](and [fill PTEMPNAME] spouse's)
capital gains or losses from the sale or exchange of personal
assets for 2005?(Line 13 on Form 1040)
[else]
How much were [fill PTEMPNAME] capital gains or losses from the sale
or exchange of personal assets for 2005?(Line 13 on Form 1040)
[endif]

ENTER LOSS AS A NEGATIVE AMOUNT
LINE 13 ON FORM 1040
ENTER (N) FOR NONE

\$@

Enter Number

TAX024_AGI

[if TAX004_STATUS eq <2>]
What was [fill PTEMPNAME] (and [fill PTEMPNAME] spouse's)
adjusted gross income in 2005?
[else]
What was [fill PTEMPNAME] adjusted gross income in 2005?
[endif]

ENTER LOSS AS A NEGATIVE AMOUNT
LINE 35 ON FORM 1040; LINE 21 ON FORM 1040A; LINE 4 ON FORM 1040EZ
ENTER (N) FOR NONE

\$@

Enter Number

TAX025_TXLIAB

[if TAX004_STATUS eq <2>]
 What was [fill PTEMPNAME](and [fill PTEMPNAME] spouse's) net
 tax liability in 2005?
 [else]
 What was [fill PTEMPNAME] net tax liability in 2005?
 [endif]
 LINE 61 ON FORM 1040; LINE 38 ON FORM 1040A; LINE 10 ON FORM 1040EZ
 ENTER (N) FOR NONE

 Amount: \$@

Mark One Only

TAX027_EARN

Did [fill TEMPNAME] claim an earned income credit on
 [fill HISHER] Federal income tax return?

 (1) Yes
 (2) No

 @

Enter Number

TAX028_ERNAMT

What was the amount of earned income credit claimed?

 LINE 64 ON FORM 1040; LINE 41 ON FORM 1040A; LINE 8 ON
 FORM 1040EZ

 Amount:\$@

Multiple Entry

TX28B_ERNHH

For which persons did you claim this exemption?

 ENTER LINE NUMBER OF PERSON COVERED
 ENTER (A) FOR ALL PERSONS COVERED
 ENTER (N) FOR NONE OR NO MORE

 @1 @2 @3 @4 @5 @6 @7 @8 @9 @10

 @11 @12 @13 @14 @15 @16 @17 @18 @19 @20

 @21 @22 @23 @24 @25 @26 @27 @28 @29 @30

Mark One Only

TAX032_PROPTX

Did [fill TEMPNAME] pay any property taxes on [fill PTEMPNAME]
 residence(s) in 2005?

 (1) Yes
 (2) No

 @

Mark One Only

TAX033_PROPAY

Did [fill TEMPNAME] pay these jointly with someone else living
 here?

 (1) Yes
 (2) No

 @

Multiple Entry

TX34_PROWHO

Who made these joint payments with [fill TEMPNAME]?

ENTER LINE NUMBER OF PERSON WHO MADE JOINT PAYMENTS

ENTER (A) FOR ALL PERSONS COVERED

ENTER (N) FOR NONE OR NO MORE

@1	@2	@3	@4	@5	@6	@7	@8	@9	@10
@11	@12	@13	@14	@15	@16	@17	@18	@19	@20
@21	@22	@23	@24	@25	@26	@27	@28	@29	@30

Enter Number

TAX035_PROAMT

What was the property tax bill for [fill PTEMPNAME] residence(s)
in 2005?

LINE 6 OF SCHEDULE A, PROPERTY TAX BILLS; OR OTHER DOCUMENTS
SUCH AS ESCROW SUMMARIES FROM THE MORTGAGE COMPANY

Amount: \$@

Items Booklet Index for

Alphabetical index for the Items Booklet

Object Name	Page	Object Name	Page
A		AIRA068_401	38
AIRA_BUSLIS	32	AIRA069_401CON	39
AIRA002_BUS97A	32	AIRA070_401WD	39
AIRA003_BUS97B	32	AIRA072_401WAT	39
AIRA004_BUSNAM	32	AIRA073_401ERN	39
AIRA006_TWOBUS	32	AIRA074_401AST	39
AIRA007_BS1FRM	33	ARRECUSE	39
AIRA008_BS1LOC	33	H	
AIRA010_BS1OWN	33	HH01A	1
AIRA011_BS1WHO	33	HH02	1
AIRA013_BS1HH	33	HH03	1
AIRA014_BS1PCT	33	HH04	1
AIRA015_BS1PTO	33	HH05A	2
AIRA016_BS1PCT	33	HH05B	3
AIRA017_BS1EXP	34	HH06A	2
AIRA021_BS1NET	34	HH06B	3
AIRA024_BS1OTH	34	HH07A	2
AIRA025_BS1AMT	34	HH07A1	2
AIRA026_BS1NTO	34	HH07B	4
AIRA027_BS2FRM	34	HH07B1	4
AIRA028_BS2LOC	35	HH08A	2
AIRA030_BS2OWN	35	HH08B	4
AIRA031_BS2WHO	35	HH09A	2
AIRA032_BS2HH	35	HH09B	4
AIRA033_BS2PCT	35	HH10A	3
AIRA034_BS2PTO	35	HH10B	4
AIRA035_BS2PCT	35	HH12A	3
AIRA036_BS2EXP	35	HH12A1	3
AIRA038_BS2NET	36	HH12B	4
AIRA040_BS2OTH	36	HH12B1	5
AIRA041_BS2AMT	36	HH13	5
AIRA042_BS2NTO	36	HH14	5
AIRA052_NETOBS	36	HH15	5
AIRA053_IRA	36	HH16A	5
AIRA054_IRACON	37	HH16B	7
AIRA055_IRAAMT	37	HH17A	6
AIRA056_IRAWD	37	HH17B	7
AIRA057_IRAWAT	37	HH18A	6
AIRA058_IRAERN	37	HH18A1	6
AIRA059_IRAAST	37	HH18B	7
AIRA060_KEO	37	HH18B1	8
AIRA061_KEOCON	38	HH19A	6
AIRA062_KEOAMT	38	HH19A1	6
AIRA063_KEOWD	38	HH19B	8
AIRA064_KEOWAT	38	HH19B1	8
AIRA065_KEOERN	38	HH20A	6
AIRA066_KEOAST	38	HH20B	8

Object Name	Page	Object Name	Page
HH21A	6	PR28_ERR	16
HH21A1	7	PR28_PR280	16
HH21B	8	PR28A_PR281	16
HH21B1	8	PR29_PR290	16
HH22A	7	PR3_PR110	10
HH22B	8	PR30_PR300	16
HH24A	7	PR31_PR310	17
HH24A1	7	PR32_PR320	17
HH24B	9	PR33_PR330	17
HH24B1	9	PR34_PR340	17
P		PR35_PR350	17
PR1_PR090	10	PR36_ERR	18
PR10_PR180	12	PR36_PR360	18
PR100_PR950	29	PR37_PR370	18
PR101_PR951	29	PR38_PR380	18
PR102_PR952	29	PR39_PR390	18
PR103_PR953	29	PR4_PR120	10
PR104_PR954	29	PR40_PR391	18
PR105_PR955	30	PR41_PR392	19
PR106_PR956	30	PR42_PR393	19
PR107_PR957	30	PR43_PR394	19
PR108_PR958	30	PR44_PR400	19
PR109_PR959	30	PR45_PR410	19
PR11_PR190	12	PR46_PR420	19
PR110_PR960	30	PR47_PR430	20
PR111_PR970	30	PR47A_PR431	20
PR112_PR980	30	PR48_PR440	20
PR12_PR200	12	PR49_PR450	20
PR13_PR210	12	PR4A_PR121	10
PR14_PR220	12	PR5_PR130	10
PR14A_PR220A	13	PR50_PR460	20
PR14B_PR220B	13	PR51_PR461	20
PR14C_PR220C	13	PR52_PR470	20
PR15_PR230	13	PR52A_PR471	21
PR16_PR231	13	PR53_PR480	21
PR17_PR232	13	PR54_PR490	21
PR18_PR233	13	PR55_PR500	21
PR19_PR234	14	PR56_PR510	21
PR20_PR240	14	PR57_PR520	22
PR20A_PR240A	14	PR58_PR521	22
PR20B_PR240B	14	PR59_PR530	22
PR20C_PR240C	14	PR6_PR140	11
PR21_PR250	14	PR60_PR540	22
PR22_PR251	15	PR61_PR550	22
PR23_PR252	15	PR62_PR560	22
PR24_PR253	15	PR63_PR570	23
PR25_PR254	15	PR64_PR571	23
PR26_PR260	15	PR65_ERR	23
PR27_PR270	15	PR65_PR580	23
		PR66_ERR	23

Object Name	Page	Object Name	Page
PR66_PR600	23	TAX013_SCHEDD	41
PR67_PR610	24	TAX017_ITEMIZ	41
PR68_PR620	24	TAX018_DEPEND	41
PR69_PR640	24	TAX019_DEPAMT	42
PR7_PR150	11	TAX020_CREDIT	42
PR70_PR650	24	TAX021_CRDAMT	42
PR71_PR660	24	TAX023_GAINS	42
PR72_PR670	24	TAX024_AGI	42
PR73_PR680	24	TAX025_TXLIAB	43
PR74_PR690	25	TAX027_EARN	43
PR75_PR700	25	TAX028_ERNAMT	43
PR76_PR710	25	TAX032_PROPTX	43
PR77_PR720	25	TAX033_PROPAY	43
PR78_PR730	25	TAX035_PROAMT	44
PR79_PR740	25	TAX19B_DPHH	42
PR8_PR160	11	TX28B_ERNHH	43
PR80_PR750	25	TX34_PROWHO	44
PR81_PR751	25		
PR82_PR760	26		
PR83_PR770	26		
PR84_PR780	26		
PR85_PR781	26		
PR86_PR790	26		
PR87_PR810	27		
PR88_PR820	27		
PR89_PR830	27		
PR9_ERR	11		
PR9_PR170	11		
PR90_PR840	27		
PR91_PR850	27		
PR92_PR860	27		
PR93_PR870	28		
PR94_PR880	28		
PR95_PR890	28		
PR96_PR900	28		
PR97_PR910	28		
PR98_PR920	28		
PR99_PR940	28		
T			
TAX002_FILE	40		
TAX003_COPY	40		
TAX004_STATUS	40		
TAX005_EXEMP	40		
TAX007_EXEMHH	40		
TAX008_EXMOUT	40		
TAX008B_EXEMNO	40		
TAX009_RELATE	41		
TAX011_FORM	41		
TAX012_SCHEDA	41		