U.S. DEPARTMENT OF COMMERCE
BUREAU OF THE CENSUS

SURVEY OF INCOME AND PROGRAM PARTICIPATION

INFORMATIONAL BOOKLET

1985 PANEL (Waves 1–8)

1986 PANEL (Waves 1–7)

FORM SIPP-5020
(6-1-88)

CONTENTS

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The Survey of Income and Program Participation (SIPP): Background

Introduction

The Survey of Income and Program Participation (SIPP) is a new nationwide survey designed to provide comprehensive information on the economic situation of households and persons in the United States; the first SIPP interviews of 20,000 households were conducted in October 1983. This survey collects detailed information on cash and noncash income, eligibility and participation in various government transfer programs, labor force status, assets and liabilities, and many other topics on a regular basis to learn how changes in people's lives affect their economic well-being. Data from the survey provide a multi-year perspective on changes in income, and their relationship to participation in government programs, changes in household composition and so forth. The data are also useful for studying federal government programs including their cost and effectiveness, and the effect that proposed changes in program regulations and benefit levels have on recipients. Studies of other important national issues, such as tax reform, social security program costs, and national health insurance also can be enhanced by the information from this survey.

Demands for this type of information surfaced in the late 1960s and early 1970s when many government programs were expanded and reorganized. To carry out this work, policy researchers developed microsimulation models to measure the distributional impacts of various alternative program proposals. These models, however, required detailed data to ensure the reliability of the model estimates and since no single survey collected such information, analysts made many assumptions and imputations based on data from surveys designed for other purposes. The most widely used source of income data was the March Income Supplement of the Current Population Survey (CPS). These income data, however, suffered from underreporting and misclassification by respondents. The only feasible way of obtaining improved measures of cash and noncash income and previously unavailable program-related data was to start a new survey specifically for this purpose.

Consequently, the Department of Health, Education, and Welfare initiated a research program in 1975, the Income Survey Development Program (ISDP), to design a survey to meet these data requirements. Based on experience obtained in the ISDP, planning began in 1982 for implementation in 1983 of the new survey now known as SIPP.

Design Features

The primary goal in designing SIPP was to improve reporting of income and other program-related data and to do it in a way that would allow the analysis of changes over time at a microlevel. This goal was met principally by using a survey design in which the same people are interviewed at relatively short intervals, once every four months, for approximately 2 1/2 years. The reference period for most survey items is the four month period preceding the month of the interview. Sample persons are interviewed at new addresses if they move, and any other persons that they move in with, or vice versa, are also interviewed. In this way, a highly detailed record is built up over time for each person and household in a sample panel. This design minimizes the need for sample persons to recall most of the information for longer than a few months and reduces the number of questions asked in one interview.

To further enhance the estimates of change, particularly year to year change, a new sample panel of approximately 12,000 households is introduced every year. Consequently, two or sometimes three panels are in the field concurrently. Since portions of the sample are the same from one year to the next, year to year change estimates can be based in part on a direct comparison across two years for the same individuals. The overlapping panel design also allows cross-sectional estimates to be produced from a larger, combined sample that is about double in size when two panels overlap and triple with three overlapping panels.

Survey Content

Each interview is planned to take about thirty minutes of a respondent's time and includes content that is divided into three main groups of questions. The substance of two of these groups should be essentially the same for each interview and for each panel. The third group of questions covers topics that will change in each interview of a panel allowing for the inclusion of some new content, although many of the topics will be repeated across all the panels.

The first group of questions is contained on the control card, a separate document from the questionnaire, which records basic social and demographic characteristics for every person residing at an address (age, race, sex, etc.) as well as some information relating to the housing unit or household. The card has information on each source of income received and the name of each job or business so that this information can be used at the next interview.

The second major group of questions form the core portion of the questionnaire, which is divided into five sections. The core set of questions is asked at the first interview and then updated in each subsequent interview. The first section of the core collects the basic labor force participation data weekly for all job-holders for the four reference months. In addition, this first section of the core collects much of the information on the receipt of income from various sources during the four month reference period. This includes income from government sources such as Aid to Families with Dependent Children, Supplemental Security Income, General Assistance, Unemployment Insurance, and Workers' Compensation. Respondents are also asked about Social Security, pensions, and other retirement income, about miscellaneous sources of income such as alimony, child support, interest from savings, income for foster child care, educational assistance, and also about noncash benefits such as food stamps, WIC (Women, Infants, and Children Nutrition Program), Medicaid, Medicare, and health insurance coverage.

The second section of the SIPP core questionnaire collects information associated with wage and salary earnings, including information on industry and occupation as well as hourly earnings for up to two jobs. Section three collects data on self-employment earnings and specific information about the kind of self-employment. Section four is identified as the general amounts section and it itemizes the monthly amounts received from the income sources previously identified in the first section. The fifth and last section of the core questionnaire identifies amounts of income earned from asset holdings such as savings accounts, bonds, stocks, and rental property.

The third major question group consists of the various supplements or "topical modules" that are included in interviews following the initial interview. Depending on the time available and the length of the modules, more than one may be administered in the same wave. The topical modules cover a variety of issues not covered in the core portion of the questionnaire; these are areas that do not require examination every four months and may use a different reference period than the core questions. Some modules are assigned to only one interview during a panel, while other modules may be repeated. The module data may be analyzed independently or in conjunction with the control card items or core data. Frequently, a module is administered at the same time in concurrent panels so that the data may be combined to improve reliability.

There are both fixed and variable topical modules. The fixed topical modules are designed to be conducted on a regular basis to augment the core data. They are considered necessary to meet the survey's goals and objectives. Although the topics are "fixed," the questions in these modules may be modified from time to time to accommodate conceptual changes or to make improvements in collecting these data. An example of a fixed topical module is the annual "round-up" module on earnings and benefits which contains wages and salary data from W-2 forms and estimates of annual self-employment income. Another fixed module administered at the same time has property income and tax-related information such as filing status and taxes paid, to allow the estimation of tax incidence, disposable income, and the simulation of tax policy alternatives.

Contents of the variable topical modules are designed to satisfy the special programmatic needs of other Federal agencies and are added as the survey continues. One example is the child care topical module administered in the 1985 Panel which was developed to obtain information about child care arrangements. Other modules include pension plan coverage, disability status of children, and characteristics of home financing arrangements.

SIPP Data Products

Microdata files from SIPP are available in two forms. The first is the "Rational File," a complex file which organizes the data within sample units on eight record types and provides pointers to describe the relationships between records. The second is the "Rectangular File" developed from the complex file. On this file the appropriate monthly household, family, and income attributes are assigned to each person.

The Relational File affords some processing efficiency and simplifies the analysis of variables that are not person-level characteristics, but the complex structure requires an experienced programmer and is likely to be expensive when using packages such as SAS or SPSS. The Rectangular files simplify the computer access to SIPP by providing data at the person level but this introduces other complexities when looking at characteristics at other levels. For example, since household information is carried for each member of the household, the user must be careful not to count that information more than once for each household.

In general, the Relational File requires more work at the computer processing level, but requires less work for getting at concepts. On the other hand, the Rectangular File is easily accessed, but requires more work to assure that the desired characteristics are obtained.

Finally, reports which use data collected in the SIPP are available in the Census Bureau's ***Current Population Reports, Household Economic Studies***, Series P-70, available from the Superintendent of Documents, US Government Printing Office, Washington, DC 20402.

SIPP Informational Booklet

Copies of all the survey instruments used to collect data from the 1985 and 1986 Panels are contained in this booklet. Copies of the 1984 Panel survey instruments were released in an earlier version.

TRANSCRIPTION ITEMS (Card ______ of ______)

INTERVIEWER INSTRUCTIONS ▶ These columns are to be filled after the interview. Fill a column for each household member listed in Household Roster who is age 15 or older.

PGM 5

COL.	40 Person number	41 Name	Respondent person number			
1			W1	W2	W3	W4
			W5	W6	W7	W8

EMPLOYMENT

Job I.D.	42 NAME OF EMPLOYER	Job I.D.	
1		4	
2		5	
3		6	
Bus. I.D.	43 NAME OF BUSINESS/FARM	Bus. I.D.	
1		3	
2		4	

INTERVIEW STATUS

Line No.	Code	44 PERSON INTERVIEW STATUS	W1	W2	W3	W4	W5	W6	W7	W8
0		Ineligible for interview (code 993)	1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
1		Noninterview — No interview obtained (code 991)	1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
2		Interview — No ISS codes marked (code 992)	1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

INCOME

Mark box only if indicated on ISS

Line No.	Code	45 INCOME SOURCES (1–56)	W1	W2	W3	W4	W5	W6	W7	W8
3			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
4			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
5			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
6			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
7			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
8			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
9			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
		46 ASSETS (100–150)								
10			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
11			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
12			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
13			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
14			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
15			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
16			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
		47 SPECIAL INDICATORS								
17			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
18			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
19			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
20			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

COL.	40 Person number	41 Name	Respondent person number			
2			W1	W2	W3	W4
			W5	W6	W7	W8

EMPLOYMENT

Job I.D.	42 NAME OF EMPLOYER	Job I.D.	
1		4	
2		5	
3		6	
Bus. I.D.	43 NAME OF BUSINESS/FARM	Bus. I.D.	
1		3	
2		4	

INTERVIEW STATUS

Line No.	Code	44 PERSON INTERVIEW STATUS	W1	W2	W3	W4	W5	W6	W7	W8
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15			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
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19			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
20			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

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EMPLOYMENT

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1		4	
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3		6	
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19			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
20			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

34a MOVERS

	Person number(s)	Entire HH moved ☐ – *If box is marked fill 34b*	New telephone number
1	New address – Number and street		
	City	State	ZIP code
	Other identification		Wave move discovered

	Person number(s)	New telephone number
2	New address – Number and street	
	City / State	ZIP code
	Other identification	Wave move discovered

	Person number(s)	New telephone number
3	New address – Number and street	
	City / State	ZIP code
	Other identification	Wave move discovered

	Person number(s)	New telephone number
4	New address – Number and street	
	City / State	ZIP code
	Other identification	Wave move discovered

PGM 4, Cont'd.

OFFICE USE ONLY 0054

34b *If entire household moves, try to determine:*

Is the address within the limits of a city, town, or village?

1 ☐ Yes – What is the name? ______

2 ☐ No – Not within the limits of a city, town, or village

35 MERGED HOUSEHOLD MATCH TABLE

New person number (a)	PREVIOUS IDENTIFICATION PSU (b)	Segment (c)	Serial (d)	Sample designation (e)	Entry address ID (f)	Person number (g)
0056	0058	0060	0062	0064	0066	0068
0070	0072	0074	0076	0078	0080	0082
0084	0086	0088	0090	0092	0094	0096
0098	0100	0102	0104	0106	0108	0110
0112	0114	0116	0118	0120	0122	0124
0126	0128	0130	0132	0134	0136	0138
0140	0142	0144	0146	0148	0150	0152
0154	0156	0158	0160	0162	0164	0166

CODES FOR 19b

1 – Reference Person WITH relatives in household
2 – Reference Person with NO relatives in household
3 – Husband/Wife
4 – Own child (son or daughter)
5 – Parent
6 – Brother/Sister
7 – Other relative of Reference Person
8 – Non-relative of Reference Person WITH OWN relatives in household
9 – Partner/roommate
0 – Non-relative of Reference Person (other than partner/roommate) with NO OWN relatives in household

CODES FOR 23

Entered

1 – Birth
2 – Marriage
3 – Other
4 – *(Use only with item 21d)*

Left

5 – Deceased
6 – Institutionalized
7 – Living in Armed Forces barracks
8 – Moved outside of country
9 – Separation or divorce
10 – Person number 201 no longer living with sample person
11 – Other
99 – Listed in error

CODES FOR 30

01 – German
02 – English
03 – Irish
04 – French
05 – Italian
06 – Scottish
07 – Polish
08 – Dutch
09 – Swedish
10 – Norwegian
11 – Russian
12 – Ukrainian
13 – Welsh
14 – Mexican-American
15 – Chicano
16 – Mexican
17 – Puerto Rican
18 – Cuban
19 – Central or South American (Spanish speaking)
20 – Other Spanish
21 – Afro-Amer. (Black or Negro)
30 – Another group not listed
39 – Don't know

CODES FOR 29

1 – White
2 – Black
3 – American Indian, Eskimo or Aleut
4 – Asian or Pacific Islander
5 – Other – *Specify below*

Person No.	Specify race

NOTES

CODES FOR 32b

Enter code in descending order of priority. Thus, if person served in Vietnam and in Korea enter "1".

1 – Vietnam Era (Aug. '64–April '75)
2 – Korean Conflict (June '50–Jan. '55)
3 – World War II (Sept. '40–July '47)
4 – World War I (Apr. '17–Nov. '18)
5 – May 1975 or later
6 – Other Service (All other periods)

TABLE X – LIVING QUARTERS DETERMINATION AT LISTED ADDRESS

ADDRESS OF ADDITIONAL LIVING QUARTERS – If already listed, fill sheet and line number below and stop Table X. Otherwise, enter basic address and unit address, if any, OR description and location of unit. (1)	SPECIAL PLACE CHECK (All segments) – Is this a unit in a special place? (2)	SEPARATENESS – Do the occupants or intended occupants of *(Address in column 1)* live and eat separately from all other persons on the property? (3)	SEPARATENESS – Does *(Address in column 1)* have direct access from the outside or through a common hall? (4)	CLASSIFICATION – N – Not a separate unit (include on this control card); HU/OT – Separate unit. (Do not include on this control card.) Complete the appropriate segment type column for interviewing instructions. (5)	AREA SEGMENTS – Is this unit within the segment boundaries? (6)	ADDRESS SEGMENTS (7)	SPECIAL PLACE SEGMENTS – Is this unit within the specific address of the original sample unit? (8)	PERMIT SEGMENTS – Is this unit within the same structure as the original sample unit? (9)
Sheet ___ Line ___	☐ Yes – *SKIP* to column 5 and mark according to *Table A in Part C of manual* ☐ No	☐ Yes ☐ No – *SKIP* to column 5 and mark "N" box	☐ Yes – Go to column 5 and mark "HU" box ☐ No	☐ N – *Stop Table X. Continue interview with original unit* ☐ HU ☐ OT *Fill column 6, 7, 8, or 9 as appropriate*	☐ Yes – *Interview as an EXTRA unit* ☐ No – *Do not interview*	*List on first available line of listing sheet. Interview if in sample.*	☐ Yes – *Interview as an EXTRA unit* ☐ No – *Do not interview*	☐ Yes – *List on first available line of listing sheet. Interview if in sample.* ☐ No – *Do not interview*
Sheet ___ Line ___	☐ Yes – *SKIP* to column 6 and mark according to *Table D in Part B of manual* ☐ No	☐ Yes ☐ No – *SKIP* to column 6 and mark "N" box	☐ Yes – *SKIP* to column 6 and mark "HU" box ☐ No	☐ N – *Stop Table X. Continue interview with original unit* ☐ HU ☐ OT *Fill column 6, 7, 8, or 9 as appropriate*	☐ Yes – *Interview as an EXTRA unit* ☐ No – *Do not interview*	*List on first available line of listing sheet. Interview if in sample.*	☐ Yes – *Interview as an EXTRA unit* ☐ No – *Do not interview*	☐ Yes – *List on first available line of listing sheet. Interview if in sample.* ☐ No – *Do not interview*

NOTE: BE SURE TO CONTINUE INTERVIEW FOR ORIGINAL UNIT AFTER COMPLETING TABLE X FOR ALL LINES.

8 ADDRESS (Sheet ____ Line ____)

8a What is your exact address?

House number, street, Apt. number, or other identification

Place | County | State | ZIP code

8b Is this also your mailing address? ☐ Yes ☐ No – *Specify below*

Route number, P.O. Box, or other identification

Place | County | State

8c Special place name

8d Type code

8e Sample number

AREA SEGMENTS ONLY

9 YEAR BUILT

☐ Ask first visit
☐ DO NOT ASK

When was this structure originally built?

☐ Before 4-1-80 – *Continue interview*
☐ After 4-1-80 – *Complete item 10c when required; END INTERVIEW*

10 COVERAGE QUESTIONS

☐ Ask items marked
☐ DO NOT ASK

☐ **10a** Are there any occupied or vacant living quarters besides your own in this building? ☐ Yes – *Fill Table X* ☐ No

☐ **10b** Are there any occupied or vacant living quarters besides your own on this floor? ☐ Yes – *Fill Table X* ☐ No

☐ **10c** Is there any other building on this property for people to live in – either occupied or vacant? ☐ Yes – *Fill Table X* ☐ No

OFFICE USE ONLY

11 GEOGRAPHIC LOCATION

11a Is (the) address within the limits of a city, town, or village?

1 ☐ Yes – What is the name? ________
2 ☐ No – Not within the limits of a city, town, or village

11b *If this Control Card is prepared for a mover, mark one of the following boxes:*

Address is: 1 ☐ Within a SIPP PSU
2 ☐ 0–24
3 ☐ 25–49
4 ☐ 50–74
5 ☐ 75–100
6 ☐ 100 +
} *Miles from a SIPP PSU*

INTRODUCTION

INITIAL VISIT – **Hello, I am** *(Interviewer's name)* **from the United States Bureau of the Census. Here is my identification card. We are conducting a survey on the economic situation of people who live in the United States. I have some questions to ask you. Did you receive our letter?**

RETURN VISIT – **Hello, I am** *(Interviewer's name)* **from the United States Bureau of the Census. Here is my identification card. Several months ago this household was contacted concerning a survey on the economic situation of people who live in the United States. I have some further questions to ask on this subject. Did you receive our letter?** *GO TO ITEM 21f on page 2.*

12 LAND USE

12a *Follow instructions for box that is marked*

1 ☐ URBAN – *SKIP to item 13*
2 ☐ RURAL – Reg. units and SP. PL. units coded 85-88 in 8d – *ASK item 12b*
SP. PL. units not coded 85-88 in 8d – *Mark "No" in item 12b without asking, then go to item 13*
3 ☐ Unclassified – *ASK 12b, or mark by observation*

12b During the past 12 months did sales of crops, livestock, and other farm products from this place amount to $1,000 or more?

1 ☐ Yes
2 ☐ No
3 ☐ NA

13 CLASSIFICATION OF LIVING QUARTERS – *Mark by observation*

13a INTERVIEWER CHECK ITEM

Unit is:
1 ☐ In a Special Place – *Refer to Table A in Part C of manual and mark appropriate box in either 13c or 13d*
2 ☐ NOT in a Special Place

13b ACCESS

1 ☐ Direct – *Go to item 13c*
2 ☐ Through another unit – *Not a separate HU; combine with unit through which access is gained. Apply merged unit procedures if appropriate.*

13c HOUSING unit

1 ☐ House, apartment, flat
2 ☐ HU, in nontransient hotel, motel, etc.
3 ☐ HU, permanent in transient hotel, motel, etc.
4 ☐ HU, in rooming house
5 ☐ Mobile home or trailer with NO permanent room added
6 ☐ Mobile home or trailer with one or more permanent rooms added
7 ☐ HU not specified above – *Describe in notes*

13d OTHER unit

9 ☐ Quarters not HU in rooming or boarding house
10 ☐ Unit not permanent in transient hotel, motel, etc.
11 ☐ Unoccupied site for mobile home, trailer, or tent
12 ☐ OTHER unit not specified above – *Describe in notes*

14 UNITS IN STRUCTURE

ASK IF NOT APPARENT –

How many housing units both occupied and vacant, are there in this structure?

1 ☐ Only OTHER units
2 ☐ Mobile home or trailer
3 ☐ One, detached
4 ☐ One, attached
5 ☐ Two
6 ☐ 3–4
7 ☐ 5–9
8 ☐ 10–19
9 ☐ 20–49
10 ☐ 50 or more

15 TENURE

Are your living quarters –

1 ☐ Owned or being bought by you or someone in your household?
2 ☐ Rented for cash?
3 ☐ Occupied without payment of cash rent?

16 CHARACTERISTICS OF UNIT

ASK ONLY IF UNIT IS RENTED (Otherwise go to item 19a on page 2, or 21b if first interview at mover's new address)

16a Is this residence in a public housing project, that is, is it owned by a local housing authority?

1 ☐ Yes – *Go to item 19a, page 2 OR 21b if first interview at mover's new address*
2 ☐ No
X1 ☐ DK

16b Are you paying lower rent because the Federal, State or local government is paying part of the cost?

1 ☐ Yes
2 ☐ No
X1 ☐ DK
} *Go to item 19a, page 2 OR 21b if first interview at mover's new address*

NOTES

36 HOUSEHOLD FINAL INTERVIEW STATUS – *Complete after interview*

Wave (a)	Code (b)	*If codes 06, 16, or 22, specify* (c)
1		
2		
3		
4		
5		
6		
7		
8		

37 CHARACTERISTICS OF TYPE A OR D HOUSEHOLD

Verify for each wave assigned.

37a Race of reference person – *Enter code from flashcard* ________

37b Sex of reference person

1 ☐ Male
2 ☐ Female

37c Size of household – *Count all children and adults* ________

38 RECORD OF VISITS, CONTROL CARD RESPONDENT PERSON NUMBER AND APPOINTMENTS

Wave (a)	Month (b)	Day (c)	Personal visits – Tally (d)	Personal visits – Total (e)	Telephone calls – Tally (f)	Telephone calls – Total (g)	cc respondent person number (h)	O – Obsvd. R – Reint. (i)	Appointment time and date NA – No appointment (j)	Interviewer name (k)
1										
2										
3										
4										
5										
6										
7										
8										

39 FUTURE CONTACTS – *Wave 1: Read Flashcard T and fill 39a–c. Verify and update for waves 2–8*

39a What is your telephone number?
( ) ________ ☐ Refused ☐ None

39b What is the best time to call or visit? ____ a.m. ____ p.m.

39c Please give me the name, address, and telephone number of a close relative or friend who would know how to reach you if we are unable to contact you.

Name | Relationship

Address *(No., St., Apt. No., City, State, ZIP code)* | Telephone number *(Include area code)*

CODES FOR HOUSEHOLD INTERVIEW STATUS

Wave 1 interview status

01 – Interviewed

Type A – Noninterview
02 – No one home
03 – Temporarily absent
04 – Refused
05 – Unable to locate
06 – Other – *Specify*

Type B – Noninterview
09 – Vacant
10 – Occupied by persons with URE
11 – Unfit or to be demolished
12 – Under construction, not ready
13 – Converted to temporary business or storage
14 – Unoccupied site for mobile home, trailer, or tent
15 – Permit granted, construction not started
16 – Other – *Specify*

Type C – Noninterview
17 – Demolished
18 – House or trailer moved
19 – Converted to permanent business or storage
20 – Merged
21 – Condemned
22 – Other – *Specify*

Waves 2–8 interview status

01 – Interviewed

Type A – Noninterview
02 – No one home
03 – Temporarily absent
04 – Refused
05 – Unable to locate
06 – Other – *Specify*

Type B – Noninterview
16 – Entire HH institutionalized or temporarily ineligible

Type C – Noninterview
22 – Deleted (sample adjustment, error)
23 – Entire household deceased, moved out of country, or living in armed forces barracks

Type D – Noninterview
24 – Moved, address unknown
25 – Moved within country beyond limit

26 – All sample persons relisted on new Control Card(s) (On old cc enter "26" in item 36)

FORM SIPP-8001 (7-16-85)

PGM 2

OMB No. 0607-0425: Approval Expires August 31, 1988

FORM **SIPP-6001** (7-16-85)

U.S. DEPARTMENT OF COMMERCE
BUREAU OF THE CENSUS

CONTROL CARD

SURVEY OF INCOME AND PROGRAM PARTICIPATION

NOTICE — Your report to the Census Bureau is **confidential** by law (title 13, U.S. Code). It may be seen only by sworn Census employees and may be used only for statistical purposes.

1 REGIONAL OFFICE CODE

2 CONTROL NUMBER — PSU | Segment | Segment suffix | Serial | Sample: S 0 6 | CHECK DIGIT

3 ADDRESS I.D.

4 SEGMENT TYPE
1 ☐ Address
2 ☐ Unit
3 ☐ Permit
4 ☐ Area
5 ☐ Special place

5a Interviewer code

5b Letter sent

WAVE	1	2	3	4	5	6	7	8
5a Interviewer code								
5b Letter sent	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No

6a EXTRA UNIT — Original unit serial number

6b Sheet ____ Line ____

6c OFFICE USE ONLY

7 Wave for which Control Card first prepared

HOUSEHOLD RECORD (Card ____ of ____)

FILL ITEMS 17–20 FOR ALL PERSONS LIVING OR STAYING HERE

FILL (OR UPDATE AS APPROPRIATE) ITEMS 23–33 FOR HOUSEHOLD MEMBERS ONLY — Ask each item for entire household before asking next item

17 ENTRY ADDRESS I.D.

PGM 3

18 PERSON NUMBER — Assign 101, 102, etc. for wave 1; 201, 202, etc. for wave 2

19a HOUSEHOLD ROSTER

FIRST INTERVIEW AT ORIGINAL ADDRESS —

What are the names of all persons living or staying here? Start with the name of the person or one of the persons who (owns, rents) this home. Please include middle and maiden names. *(Leave one blank space between each part of name)*

FIRST INTERVIEW AT MOVER'S NEW ADDRESS for mover's new address — *Fill items 17–19a before the interview.*

Last, First, Middle, Maiden

19b RELATIONSHIP TO REFERENCE PERSON (RP)

Ask if not apparent:

What is . . .'s relationship to *(Read name of RP)***?**

Example: Reference person, wife or husband, son, daughter, son-in-law, sister, foster son, partner, lodger, lodger's wife

See codes on page 4

Code | Update

20 HOUSEHOLD MEMBER

Does . . . usually live here?

1-Yes
2-No — Probe for URE

Yes | No

23 DATE ENTERED OR LEFT

Complete if instructed by item 21, enter code and date

See codes on page 4

First occurrence: Code | Mo. | Day | Year — Update: Code | Mo. | Day | Year

24 BIRTH DATE/AGE

a. What is . . .'s date of birth?

Example: 01-20-1963
12-01-1924

Verify age using Flashcard G

b. . . . is now *(Read age)* **years old, is that correct?**

Mo. | Day | Year | Age | Age Update

25 PERSON NUMBER OF PARENT

Refer to 18 and 19b. If none enter "999"

ASK IF 15 OR OLDER

26a MARITAL STATUS

Is . . . now –
Married
1-Sp. Pres.
2-Sp. Abs.
3-Widowed
4-Divorced
5-Separated
OR
6-Never married?

Code | Update

26b PERSON NUMBER OF SPOUSE

Refer to 18, 19b, and 26a. If none enter "999"

27 DESIGNATED PARENT OR GUARDIAN

Fill for "Never married" persons under 18. If no parent, ask: **Who in this household is responsible for . . .?**

Enter person number

28 SEX

Ask if not apparent:

Is . . .
1-Male
or
2-Female?

M | F

29 RACE

SHOW FLASHCARD

What is the race of each person in this household?

See codes on page 4

30 ORIGIN

SHOW FLASHCARD

What is the origin or descent of each person in this household?

See codes on page 4

GO TO ITEM 31a

21 HOUSEHOLD ROSTER COVERAGE

• FIRST INTERVIEW

PGM 4

		Yes	No
21a **I have listed** *(Read names from item 19a),* **have I missed** — *If "Yes," to any of the following, ask name and complete items 17–20 above.*			
— **Any babies or small children?**	0010	1 ☐	2 ☐
— **Any lodgers, boarders, or persons you employ who live here?**	0012	1 ☐	2 ☐
— **Anyone who usually lives here but is away now—traveling, at school, or in a hospital?**	0014	1 ☐	2 ☐
— **Anyone else staying here?**	0016	1 ☐	2 ☐

• FIRST INTERVIEW AT MOVER'S NEW ADDRESS

		Yes	No
21b **I have listed . . .** *(Read names from item 19a),* **as now living at this address. Is this correct?** *If NO, ask* — **Which person did not move here?**	0018	1 ☐	2 ☐
21c **When did . . . move in here?** *Enter appropriate code and date in item 23.*			
21d **Is there anyone else living or staying here now?** *If YES, ask name and complete items 17–19a, then ask:* **When did . . begin living here?** *If moved in before sample person(s), enter code "4" in item 23 and omit date. If moved in at the same time or after sample person(s), enter appropriate code and date in item 23.*	0020	1 ☐	2 ☐
21e **Which of these persons (owns/rents) this home?** *Assign as reference person in item 19b.*			

• SUBSEQUENT INTERVIEWS

	W2	W3	W4	W5	W6	W7	W8
21f **I have listed . . .** *(Read names from item 19a).* **Are all of these persons still living or staying here?** *If NO, ask:* **Who no longer lives here? When did . . . leave?** *Enter appropriate code and date left in item 23. Probe, if necessary, for reason left. If entire household moved complete item 23, determine new address (item 34), and end interview. Fill form SIPP-5011 for each 200+ person.*	0022 1 ☐ Y 2 ☐ N	0026 1 ☐ Y 2 ☐ N	0030 1 ☐ Y 2 ☐ N	0034 1 ☐ Y 2 ☐ N	0038 1 ☐ Y 2 ☐ N	0042 1 ☐ Y 2 ☐ N	0046 1 ☐ Y 2 ☐ N
21g **Is anyone else living or staying here, including new born babies?** *If YES, ask name and complete items 17–20 above, then ask:* **When did . . . begin living here?** *Enter appropriate code and date in item 23.* **Anyone else?**	0024 1 ☐ Y 2 ☐ N	0028 1 ☐ Y 2 ☐ N	0032 1 ☐ Y 2 ☐ N	0036 1 ☐ Y 2 ☐ N	0040 1 ☐ Y 2 ☐ N	0044 1 ☐ Y 2 ☐ N	0048 1 ☐ Y 2 ☐ N

22 HOUSING UNIT COVERAGE

• FIRST INTERVIEW

22a INTERVIEWER CHECK ITEM

Unit is: ☐ In a special place — *SKIP to 24 above*
☐ Not in a special place — *ASK 22b*

Ask if not apparent:

22b **Do all persons in this household live OR eat together?**

☐ Yes — *ASK 22c*
☐ No, neither live nor eat together — *Fill Table X for the person or group of persons not living or eating with RP*

Ask if not apparent:

22c **Does any other household on the property live OR eat with this household?**

☐ Yes — *Redefine the unit to include space occupied by all persons who live or eat together. Apply merged unit procedures if appropriate.*

☐ No

GO TO ITEM 24 ABOVE

31a Person number — *Enter person No. from item 18*

ASK IF 15 OR OLDER

EDUCATION

31b **What is the highest grade or year of regular school . . . has ever attended?**
00 – Never attended or kindergarten
01-08 – Elementary
09-12 – High school
21-26 – College (Academic)

Code | Update

31c **Did . . . complete that grade (year)?**
1-Yes
2-No

Yes | No

ARMED FORCES

32a **Did . . . ever serve on active duty in the U.S. Armed Forces?**
1-Yes
2-No

Yes | No

If "Yes" in 32a ask:

32b **When did . . . serve?** *See codes on page 4*

1st code | 2nd code | 3rd code

32c **Is . . . now in the Armed Forces?**
1-Yes
2-No

Yes | No

SOCIAL SECURITY

★ **33a** **What is . . .'s Social Security (or Railroad Retirement) number?**

If don't know ask:

If I call back, would . . . be able to provide this information?

If "Yes," mark reminder card.

33b Nonresponse — *Mark the appropriate box*
1-Don't know
2-Refusal
3-None

INTERVIEWER: *Go to first questionnaire interview.*

TRANSCRIPTION ITEMS (Card ______ of ______)

INTERVIEWER INSTRUCTIONS ▶ These columns are to be filled after the interview. Fill a column for each household member listed in Household Roster who is age 15 or older.

PGM 5

COL.	40 Person number	41 Name / Respondent person number	W1	W2	W3	W4	W5	W6	W7	W8
1										

EMPLOYMENT

Job I.D.	42 NAME OF EMPLOYER	W1	W2	W3	W4	W5	W6	W7	W8
1		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
2		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
3		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
4		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

Bus. I.D.	43 NAME OF BUSINESS/FARM	W1	W2	W3	W4	W5	W6	W7	W8
1		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
2		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
3		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

INTERVIEW STATUS

Line No.	Code	44 PERSON INTERVIEW STATUS	W1	W2	W3	W4	W5	W6	W7	W8
0		Ineligible for interview (code 993)	1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
1		Noninterview — No interview obtained (code 991)	1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
2		Interview — No ISS codes marked (code 992)	1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

INCOME

Line No.	Code	45 INCOME SOURCES (1–56)	W1	W2	W3	W4	W5	W6	W7	W8
			Mark box only if indicated on ISS							
3			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
4			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
5			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
6			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
7			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
8			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
9			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
		46 ASSETS (100–150)								
10			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
11			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
12			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
13			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
14			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
15			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
16			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
		47 SPECIAL INDICATORS								
17			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
18			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
19			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

COL.	40 Person number	41 Name / Respondent person number	W1	W2	W3	W4	W5	W6	W7	W8
2										

EMPLOYMENT

Job I.D.	42 NAME OF EMPLOYER	W1	W2	W3	W4	W5	W6	W7	W8
1		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
2		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
3		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
4		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

Bus. I.D.	43 NAME OF BUSINESS/FARM	W1	W2	W3	W4	W5	W6	W7	W8
1		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
2		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
3		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

INTERVIEW STATUS

Line No.	Code	44 PERSON INTERVIEW STATUS	W1	W2	W3	W4	W5	W6	W7	W8
0		Ineligible for interview (code 993)	1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
1		Noninterview — No interview obtained (code 991)	1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
2		Interview — No ISS codes marked (code 992)	1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

INCOME

Line No.	Code	45 INCOME SOURCES (1–56)	W1	W2	W3	W4	W5	W6	W7	W8
			Mark box only if indicated on ISS							
3			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
4			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
5			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
6			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
7			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
8			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
9			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
		46 ASSETS (100–150)								
10			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
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19			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

COL.	40 Person number	41 Name / Respondent person number	W1	W2	W3	W4	W5	W6	W7	W8
3										

EMPLOYMENT

Job I.D.	42 NAME OF EMPLOYER	W1	W2	W3	W4	W5	W6	W7	W8
1		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
2		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
3		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
4		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

Bus. I.D.	43 NAME OF BUSINESS/FARM	W1	W2	W3	W4	W5	W6	W7	W8
1		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
2		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
3		1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

INTERVIEW STATUS

Line No.	Code	44 PERSON INTERVIEW STATUS	W1	W2	W3	W4	W5	W6	W7	W8
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INCOME

Line No.	Code	45 INCOME SOURCES (1–56)	W1	W2	W3	W4	W5	W6	W7	W8
			Mark box only if indicated on ISS							
3			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
4			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
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7			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
8			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
9			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
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11			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
12			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
13			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
14			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
15			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
16			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
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18			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐
19			1☐	2☐	3☐	4☐	5☐	6☐	7☐	8☐

PGM 4, Cont'd.

34a MOVERS

1
Person number(s) | Entire HH moved ☐ — If box is marked fill 34b | New telephone number
New address — Number and street
City | State | ZIP Code
Other identification | Wave move discovered

2
Person number(s) | New telephone number
New address — Number and street
City | State | ZIP Code
Other identification | Wave move discovered

3
Person number(s) | New telephone number
New address — Number and street
City | State | ZIP Code
Other identification | Wave move discovered

4
Person number(s) | New telephone number
New address — Number and street
City | State | ZIP Code
Other identification | Wave move discovered

NOTES

OFFICE USE ONLY 0054

34b If entire household moves, try to determine:

Is the address within the limits of a city, town, or village?

1 ☐ Yes — What is the name?

2 ☐ No — Not within the limits of a city, town, or village

35 MERGED HOUSEHOLD MATCH TABLE

New person number (a)	PREVIOUS IDENTIFICATION PSU (b)	Segment (c)	Serial (d)	Sample designation (e)	Entry address ID (f)	Person number (g)
0056	0058	0060	0062	0064	0066	0068
0070	0072	0074	0076	0078	0080	0082
0084	0086	0088	0090	0092	0094	0096
0098	0100	0102	0104	0106	0108	0110
0112	0114	0116	0118	0120	0122	0124
0126	0128	0130	0132	0134	0136	0138
0140	0142	0144	0146	0148	0150	0152
0154	0156	0158	0160	0162	0164	0166

CODES FOR 19b

01 — Reference Person WITH relatives in household
02 — Reference Person with NO relatives in household
03 — Husband/Wife
04 — Natural/adopted child
05 — Step child
06 — Foster child
07 — Grand child
08 — Parent
09 — Brother/Sister
10 — Other relative of Reference Person
11 — Non-relative of Reference Person WITH OWN relatives in household
12 — Partner/roommate
13 — Non-relative of Reference Person (other than partner/roommate) with NO OWN relatives in household

ENTERED CODES FOR 23

Entered — This Wave
01 — Birth
02 — Marriage
03 — Other
04 — *(Use only with item 21d)*
13 — Re-entered sample after missing one or more waves
16 — From Institution
17 — From Armed Forces barracks
18 — From outside the country
19 — Due to separation or divorce

Entered — Should have been added in a previous wave
21 — Birth
22 — Marriage
23 — Other
24 — Sample person added June — September 1986 interviews
36 — From Institution
37 — From Armed Forces barracks
38 — From outside the country
39 — Due to separation or divorce

LEFT CODES FOR 23

Left — This Wave
05 — Deceased
06 — Institutionalized
07 — Living in Armed Forces barracks
08 — Moved outside of country
09 — Separation or divorce
10 — Person number 201 + no longer living with sample person
11 — Other
12 — *Use this code if instructed by your office*
99 — Listed in error

Left — Should have been deleted in a previous wave
25 — Deceased
26 — Institutionalized
27 — Living in Armed Forces barracks
28 — Moved outside of country
29 — Separation or divorce
30 — 201 + person no longer living with sample person
31 — Other

CODES FOR 30

01 — German
02 — English
03 — Irish
04 — French
05 — Italian
06 — Scottish
07 — Polish
08 — Dutch
09 — Swedish
10 — Norwegian
11 — Russian
12 — Ukrainian
13 — Welsh
14 — Mexican-American
15 — Chicano
16 — Mexican
17 — Puerto Rican
18 — Cuban
19 — Central or South American (Spanish speaking)
20 — Other Spanish
21 — Afro-Amer. (Black or Negro)
30 — Another group not listed
39 — Don't know

CODES FOR 29

1 — White
2 — Black
3 — American Indian, Eskimo or Aleut
4 — Asian or Pacific Islander
5 — Other — *Specify below* —

Person No.	*Specify race*

CODES FOR 32b

Enter codes in descending order of priority. Thus, if person served in Vietnam and in Korea enter "1", and then "2".

1 — Vietnam Era (Aug.'64—April '75)
2 — Korean Conflict (June '50—Jan. '55)
3 — World War II (Sept. '40—July '47)
4 — World War I (Apr. '17—Nov. '18)
5 — May 1975 to August 1980
6 — September 1980 or later
7 — Other Service (All other periods)

TABLE X — LIVING QUARTERS DETERMINATION AT LISTED ADDRESS

ADDRESS OF ADDITIONAL LIVING QUARTERS — If already listed, fill sheet and line number below and stop Table X. Otherwise, enter basic address and unit address, if any, OR description and location of unit. (1)	SPECIAL PLACE CHECK (All segments) — Is this a unit in a special place? (2)	SEPARATENESS — Do the occupants or intended occupants of *(Address in column 1)* live and eat separately from all other persons on the property? (3)	SEPARATENESS — Does *(Address in column 1)* have direct access from the outside or through a common hall? (4)	CLASSIFICATION — N — Not a separate unit (include on this control card); HU / OT — Separate unit. (Do not include on this control card.) Complete the appropriate segment type column for interviewing instructions. (5)	AREA SEGMENTS — Is this unit within the segment boundaries? (6)	ADDRESS SEGMENTS (7)	SPECIAL PLACE SEGMENTS — Is this unit within the specific address of the original sample unit? (8)	PERMIT SEGMENTS — Is this unit within the same structure as the original sample unit? (9)
Sheet ___ Line ___	☐ Yes — *SKIP to column 5 and mark according to Table A in Part C of manual* ☐ No	☐ Yes ☐ No — *SKIP to column 5 and mark "N" box*	☐ Yes — *Go to column 5 and mark "HU" box* ☐ No	☐ N — *Stop Table X. Continue interview with original unit* ☐ HU ☐ OT } *Fill column 6, 7, 8, or 9 as appropriate*	☐ Yes — *Interview as an EXTRA unit* ☐ No — *Do not interview*	*List on first available line of listing sheet. Interview if in sample.*	☐ Yes — *Interview as an EXTRA unit* ☐ No — *Do not interview*	☐ Yes — *List on first available line of listing sheet. Interview if in sample.* ☐ No — *Do not interview*
Sheet ___ Line ___	☐ Yes — *SKIP to column 5 and mark according to Table A in Part C of manual* ☐ No	☐ Yes ☐ No — *SKIP to column 5 and mark "N" box*	☐ Yes — *Go to column 5 and mark "HU" box* ☐ No	☐ N — *Stop Table X. Continue interview with original unit* ☐ HU ☐ OT } *Fill column 6, 7, 8, or 9 as appropriate*	☐ Yes — *Interview as an EXTRA unit* ☐ No — *Do not interview*	*List on first available line of listing sheet. Interview if in sample.*	☐ Yes — *Interview as an EXTRA unit* ☐ No — *Do not interview*	☐ Yes — *List on first available line of listing sheet. Interview if in sample.* ☐ No — *Do not interview*

NOTE: BE SURE TO CONTINUE INTERVIEW FOR ORIGINAL UNIT AFTER COMPLETING TABLE X FOR ALL LINES.

INCOME SOURCE SUMMARY (ISS)

INSTRUCTION — Column (a) will show the income source code. In column (b), mark (X) for all sources from which income was received during the reference period. Column (c) will show the type of income source. The Amounts section, should be filled starting with the page number shown in column (d) for those income sources which have been marked.

ISS code (a)	Mark (X) (b)	Type of income source and income source code (c)	Amounts section page number (d)
		INCOME CODES 1-7	(A) - 20, 23, 26, 29, 32, 35
1		Social Security	
2		U.S. Government Railroad Retirement pay	
3		Federal Supplemental Security Income (SSI)	
5		State Unemployment compensation	
6		Supplemental Unemployment Benefits	
		INCOME CODES 8-13	
8		Veterans compensation or pensions	
		INCOME CODES 20-29	
20		Aid to Families with Dependent Children (AFDC, ADC)	
24		Other Welfare — *Specify*	
27		Food Stamps	
28		Child Support payments	
29		Alimony payments	
		INCOME CODES 30-38	
30		Pension from company or union	
		INCOME CODES 40-46	
40		GI Bill education benefits	
		INCOME CODES 50-56	
55		Incidental or casual earnings	
		ASSET CODES 100-150	(B) - 38
		Interest Earning Assets	
100		Regular/passbook/savings accounts in a bank, savings and loan or credit union	
101		Money market deposit accounts	
102		Certificates of Deposit or other savings certificates	
103		NOW, Super NOW or other interest earning checking accounts	
104		Money market funds	(C) - 39
105		U.S. Government securities	
106		Municipal or corporate bonds	
107		Other interest-earning assets	
110		Stocks or mutual fund shares	(D) - 40
120		Rental property	(E) - 41
130		Mortgages	(F) - 42
140		Royalties	
150		Other financial investments	
		SPECIAL INDICATOR CODES 170-183, 200, 201	Section 2
170		Worked	
171		Disabled	*DO NOT FILL*
172		Medicare	
173		Medicaid	
174		U.S. Savings Bonds	
200		VA disability rating of 100%	
201		VA disability rating of less than 100%	

INCOME SOURCE LIST

INCOME LIST

Code	Type	Code	Type
1	Social Security	28	Child support payments
2	U.S. Government Railroad Retirement pay	29	Alimony payments
3	Federal Supplemental Security Income (SSI)	30	Pension from company or union
4	State Supplemental Security Income (State administered SSI only)	31	Federal Civil Service or other Federal civilian employee pensions
5	State unemployment compensation	32	U.S. Military retirement pay
6	Supplemental Unemployment Benefits	33	National Guard or Reserve Forces retirement
7	Other unemployment compensation (Trade Adjustment Act benefits, strike pay, other)	34	State government pensions
8	Veterans compensation or pensions	35	Local government pensions
9	Black lung payments	36	Income from paid-up life insurance policies or annuities
10	Worker's compensation	37	Estates and trusts
11	State temporary sickness or disability benefits	38	Other payments for retirement, disability or survivor
12	Employer or union temporary sickness policy	40	G.I. Bill
13	Payments from a sickness, accident or disability insurance policy purchased on your own	41	Other VA educational assistance
20	Aid to Families with Dependent Children (AFDC, ADC)	50	Income assistance from a charitable group
21	General assistance or General relief	51	Money from relatives or friends
22	Indian, Cuban, or Refugee Assistance	52	Lump sum payments
23	Foster child care payments	53	Income from roomers or boarders
24	Other welfare	54	National Guard or Reserve pay
25	WIC (Women, Infants and Children Nutrition Program)	55	Incidental or casual earnings
27	Food stamps	56	Other cash income not included elsewhere

ASSET LIST

Code	Type
100	Regular/passbook savings accounts in a bank, savings and loan or credit union
101	Money market deposit accounts
102	Certificates of Deposit or other savings certificates
103	NOW, Super NOW or other interest-earning checking accounts
104	Money market funds
105	U.S. Government securities
106	Municipal or corporate bonds
107	Other interest-earning assets
110	Stocks or mutual fund shares
120	Rental property
130	Mortgages
140	Royalties
150	Other financial investments

SPECIAL INDICATORS

Code	Type
170	Worked
171	Disabled
172	Medicare
173	Medicaid
174	U.S. Savings Bonds (E, EE)
175	College work study
176	PELL Grant
177	Supplemental Educational Opportunity Grant (SEOG)
178	National Direct Student Loan (NSL)
179	Guaranteed Student Loan
180	JTPA Training
181	Employer assistance
182	Fellowship/Scholarship
183	Other financial aid
200	VA disability rating of 100%
201	VA disability of less than 100%

FORM SIPP-6100 (7-17-85)

CALLBACK SUMMARY

CHECK ITEM C1	Are any items marked on Reminder Card for . . .?	5000	1 ☐ Yes – *Mark appropriate item(s) below, then SKIP to Check Item C2* 2 ☐ No – *SKIP to Check Item C2*
☐	**1.** Social Security Number *(Enter in cc item 33)*		☐☐☐-☐☐-☐☐☐☐ x1 ☐DK x2 ☐Ref. x3 ☐None
☐	**2.** Medicare claim number *(item 23, page 8)*	5002	☐☐☐-☐☐-5004 ☐☐☐☐-☐☐
☐	**3.** EMPLOYER **a.** Employer #1 (item 8a, page 15) What was the total amount of pay received before deductions on this job in . . .?	5006	$ .00 Last month x1 ☐DK x2 ☐Ref. x3 ☐None
		5008	$ .00 2 months ago x1 ☐DK x2 ☐Ref. x3 ☐None
		5010	$ .00 3 months ago x1 ☐DK x2 ☐Ref. x3 ☐None
		5012	$ .00 4 months ago x1 ☐DK x2 ☐Ref. x3 ☐None
☐	**b.** Employer #2 (item 16a, page 17) What was the total amount of pay received before deductions on this job in . . .?	5014	$ .00 Last month x1 ☐DK x2 ☐Ref. x3 ☐None
		5016	$ .00 2 months ago x1 ☐DK x2 ☐Ref. x3 ☐None
		5018	$ .00 3 months ago x1 ☐DK x2 ☐Ref. x3 ☐None
		5020	$ .00 4 months ago x1 ☐DK x2 ☐Ref. x3 ☐None
☐	**4.** SELF-EMPLOYMENT **a.** Self-employment #1 (item 7, page 19) What was the total amount of income received from this business in . . .?	5022	$ .00 Last month x1 ☐DK x2 ☐Ref. x3 ☐None
		5024	$ .00 2 months ago x1 ☐DK x2 ☐Ref. x3 ☐None
		5026	$ .00 3 months ago x1 ☐DK x2 ☐Ref. x3 ☐None
		5028	$ .00 4 months ago x1 ☐DK x2 ☐Ref. x3 ☐None
☐	**b.** Self-employment #2 (item 18, page 21) What was the total amount of income received from this business in . . .?	5030	$ .00 Last month x1 ☐DK x2 ☐Ref. x3 ☐None
		5032	$ .00 2 months ago x1 ☐DK x2 ☐Ref. x3 ☐None
		5034	$ .00 3 months ago x1 ☐DK x2 ☐Ref. x3 ☐None
		5036	$ .00 4 months ago x1 ☐DK x2 ☐Ref. x3 ☐None
☐	**5.** What was the average balance in savings/ Money market deposit accounts/ CD's/ NOW accounts held jointly by husband and wife? *(Item 2c, page 40)*		Amounts for the period – ______ through ______
		5038	$ .00 x1 ☐DK x2 ☐Ref.
☐	**6.** What was the average balance in savings/ Money market deposit accounts/ CD's/ NOW accounts in own name? *(Item 3c, page 40)*	5040	$ .00 x1 ☐DK x2 ☐Ref.
☐	**7.** What was the average balance in Money market funds/securities/bonds held jointly by husband and wife? *(Item 2c, page 41)*	5042	$ .00 x1 ☐DK x2 ☐Ref.
☐	**8.** What was the average balance in Money market funds/securities/bonds in own name? *(Item 3c, page 41)*	5044	$ .00 x1 ☐DK x2 ☐Ref.
☐	**9.** What was the amount received in dividends by husband and wife jointly? *(Item 1b, page 42)*	5048	$ .00 x1 ☐DK x2 ☐Ref. x3 ☐None
☐	**10.** What was the amount received in dividends in own name? (Item 2a, page 42)	5050	$ .00 x1 ☐DK x2 ☐Ref. x3 ☐None
CHECK ITEM C2	Has an interview been conducted for all household members 15+?	5052	1 ☐ Yes – *Enter finish time on cover page, fill cc items 36 and 39 and END INTERVIEW* 2 ☐ No – *Enter finish time for this household member, THEN interview next 15+ household member*

CALLBACK SUMMARY

CALLBACK SUMMARY

CHECK ITEM C1 Are any items marked on Reminder Card for . . .?
5000 1 ☐ Yes — *Mark appropriate item(s) below, then SKIP to Check Item C2*
2 ☐ No — *SKIP to Check Item C2*

☐	**1.** Social Security Number *(Enter in cc item 33a)*		☐☐☐ - ☐☐ - ☐☐☐☐		x1 ☐ DK x2 ☐ Ref. x3 ☐ None
☐	**2.** Medicare claim number *(Item 23b, page 8)*	5002	☐☐☐ - ☐☐ - 5004 ☐☐☐☐ - ☐☐		
☐	**3.** EMPLOYER **a.** Employer #1 *(Item 8a, page 15)* What was the total amount of pay received before deductions on this job in . . .?	5006	$ ____ . 00	Last month	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
		5008	$ ____ . 00	2 months ago	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
		5010	$ ____ . 00	3 months ago	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
		5012	$ ____ . 00	4 months ago	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
☐	**b.** Employer #2 *(Item 16a, page 17)* What was the total amount of pay received before deductions on this job in . . .?	5014	$ ____ . 00	Last month	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
		5016	$ ____ . 00	2 months ago	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
		5018	$ ____ . 00	3 months ago	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
		5020	$ ____ . 00	4 months ago	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
☐	**4.** SELF-EMPLOYMENT **a.** Self-employment #1 *(Item 7, page 19)* What was the total amount of income received from this business in . . .?	5022	$ ____ . 00	Last month	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
		5024	$ ____ . 00	2 months ago	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
		5026	$ ____ . 00	3 months ago	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
		5028	$ ____ . 00	4 months ago	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
☐	**b.** Self-employment #2 *(Item 18, page 22)* What was the total amount of income received from this business in . . .?	5030	$ ____ . 00	Last month	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
		5032	$ ____ . 00	2 months ago	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
		5034	$ ____ . 00	3 months ago	x1 ☐ DK x2 ☐ Ref. x3 ☐ None
		5036	$ ____ . 00	4 months ago	x1 ☐ DK x2 ☐ Ref. x3 ☐ None

Amounts as of [Month/day/year] (the last day of the reference period)

		Business 1	Business 2
☐	**5.** SELF-EMPLOYMENT **a.** What was the total value of this business before figuring in any debts that might be owed against it?	8850 $ ____ . 00 *(Item 11b, page 20)* x1 ☐ DK x2 ☐ Ref. x3 ☐ None	8852 $ ____ . 00 *(Item 21b, page 23)* x1 ☐ DK x2 ☐ Ref. x3 ☐ None
☐	**b.** What was the total debt owed against this business?	8854 $ ____ . 00 *(Item 11d, page 20)* x1 ☐ DK x2 ☐ Ref. x3 ☐ None	8856 $ ____ . 00 *(Item 21d, page 23)* x1 ☐ DK x2 ☐ Ref. x3 ☐ None
☐	**6.** What was the total amount in savings/Money market deposit accounts/CD's/NOW accounts held jointly by husband and wife? *(Item 2c, page 42)*	5038 $ ____ . 00 x1 ☐ DK x2 ☐ Ref. x3 ☐ None	
☐	**7.** What was the total amount in savings/Money market deposit accounts/CD's/NOW accounts in own name? *(Item 3c, page 42)*	5040 $ ____ . 00 x1 ☐ DK x2 ☐ Ref. x3 ☐ None	
☐	**8.** What was the total amount in Money market funds/securities/bonds held jointly by husband and wife? *(Item 2c, page 43)*	5042 $ ____ . 00 x1 ☐ DK x2 ☐ Ref. x3 ☐ None	

CALLBACK SUMMARY

CALLBACK SUMMARY (Continued)

☐	**9.** What was the total amount in Money market funds/securities/bonds in own name? *(Item 3c, page 43)*	**5044** $ ____ . 00 x1 ☐ DK x2 ☐ Ref. x3 ☐ None

Amounts for the 4 month period of [Month ____] through [Month ____]

☐	**10.** What was the amount received in dividends jointly by husband and wife during the 4-month period? *(Item 1b, page 44)*	**5048** $ ____ . 00 x1 ☐ DK x2 ☐ Ref. x3 ☐ None
☐	**11.** What was the amount received in dividends in own name during the 4-month period? *(Item 2a, page 44)*	**5050** $ ____ . 00 x1 ☐ DK x2 ☐ Ref. x3 ☐ None

Amounts as of [Month/day/year ____] (the last day of the reference period)

☐	**12.** What was the market value of stocks and mutual funds held jointly by husband and wife? *(Item 4a, page 44)*	**8858** $ ____ . 00 x1 ☐ DK x2 ☐ Ref. x3 ☐ None
☐	**13.** What was the market value of stocks and mutual funds held in own name? *(Item 5b, page 45)*	**8860** $ ____ . 00 x1 ☐ DK x2 ☐ Ref.
☐	**14.** What was the market value of rental property owned jointly by husband and wife? *(Item 2g, page 46)*	**8862** $ ____ . 00 x1 ☐ DK x2 ☐ Ref.
☐	**15.** What was the market value of rental property owned in own name? *(Item 3g, page 47)*	**8864** $ ____ . 00 x1 ☐ DK x2 ☐ Ref.
☐	**16.** What was the share of equity in rental property held jointly with others? *(Item 4i, page 48)*	**8866** $ ____ . 00 x1 ☐ DK x2 ☐ Ref.
☐	**17.** What was the total balance or market value (including interest earned) of IRA accounts? *(Item 9c, page 52)*	**8868** $ ____ . 00 x1 ☐ DK x2 ☐ Ref.
☐	**18.** What was the total balance or market value of assets in KEOGH account(s)? *(Item 10c, page 52)*	**8870** $ ____ . 00 x1 ☐ DK x2 ☐ Ref.
CHECK ITEM C2	Has an interview been conducted for all household members 15+?	**5052** 1 ☐ Yes — *Enter finish time on cover page, fill cc items 36 and 39 and END INTERVIEW* 2 ☐ No — *Enter finish time for this household member, THEN interview next 15+ household member*

NOTES

CALLBACK SUMMARY

CALLBACK SUMMARY

CHECK ITEM C1 Are any items marked on Reminder Card for . . .?

5000
1 ☐ Yes – *Mark appropriate item(s) below, then SKIP to Check Item C2*
2 ☐ No – *SKIP to Check Item C2*

☐	**1.** Social Security Number *(Enter in cc item 33b)*		☐☐☐ - ☐☐ - ☐☐☐☐		x1☐ DK x2☐ Ref. x3☐ None
☐	**2.** Medicare claim number *(item 23b, page 8)*	5002	☐☐☐ - ☐☐ - **5004** ☐☐☐☐ - ☐☐		
☐	**3.** EMPLOYER **a.** Employer #1 *(item 8, page 15)* What was the total amount of pay received before deductions on this job in . . .?	5006	$. 00	Last month	x1☐ DK x2☐ Ref. x3☐ None
		5008	$. 00	2 months ago	x1☐ DK x2☐ Ref. x3☐ None
		5010	$. 00	3 months ago	x1☐ DK x2☐ Ref. x3☐ None
		5012	$. 00	4 months ago	x1☐ DK x2☐ Ref. x3☐ None
☐	**b.** Employer #2 *(item 16, page 17)* What was the total amount of pay received before deductions on this job in . . .?	5014	$. 00	Last month	x1☐ DK x2☐ Ref. x3☐ None
		5016	$. 00	2 months ago	x1☐ DK x2☐ Ref. x3☐ None
		5018	$. 00	3 months ago	x1☐ DK x2☐ Ref. x3☐ None
		5020	$. 00	4 months ago	x1☐ DK x2☐ Ref. x3☐ None
☐	**4.** SELF-EMPLOYMENT **a.** Self-employment #1 *(item 7, page 19)* What was the total amount of income received from this business in . . .?	5022	$. 00	Last month	x1☐ DK x2☐ Ref. x3☐ None
		5024	$. 00	2 months ago	x1☐ DK x2☐ Ref. x3☐ None
		5026	$. 00	3 months ago	x1☐ DK x2☐ Ref. x3☐ None
		5028	$. 00	4 months ago	x1☐ DK x2☐ Ref. x3☐ None
☐	**b.** Self-employment #2 *(item 18, page 21)* What was the total amount of income received from this business in . . .?	5030	$. 00	Last month	x1☐ DK x2☐ Ref. x3☐ None
		5032	$. 00	2 months ago	x1☐ DK x2☐ Ref. x3☐ None
		5034	$. 00	3 months ago	x1☐ DK x2☐ Ref. x3☐ None
		5036	$. 00	4 months ago	x1☐ DK x2☐ Ref. x3☐ None
☐	**5.** What was the average balance in savings/Money market deposit accounts/CD's/NOW accounts held jointly by husband and wife? *(Item 2c, page 40)*		Amounts for the period of – ☐ through ☐		
		5038	$. 00		x1☐ DK x2☐ Ref.
☐	**6.** What was the average balance in savings/Money market deposit accounts/CD's/NOW accounts in own name? *(Item 3c, page 40)*	5040	$. 00		x1☐ DK x2☐ Ref.
☐	**7.** What was the average balance in Money market funds/securities/bonds held jointly by husband and wife? *(Item 2c, page 41)*	5042	$. 00		x1☐ DK x2☐ Ref.
☐	**8.** What was the average balance in Money market funds/securities/bonds in own name? *(Item 3c, page 41)*	5044	$. 00		x1☐ DK x2☐ Ref.
☐	**9.** What was the amount received in dividends by husband and wife jointly? *(Item 1b, page 42)*	5048	$. 00		x1☐ DK x2☐ Ref. x3☐ None
☐	**10.** What was the amount received in dividends in own name? *(Item 2a, page 42)*	5050	$. 00		x1☐ DK x2☐ Ref. x3☐ None

CALLBACK SUMMARY (Continued)

	Item	Business 1	Business 2
☐	**11a.** What were the gross receipts of this (business/practice) in 1985? *(Item 2h, page 47)*	9650 $ ______ . 00 x1☐DK x2☐Ref.	9660 $ ______ . 00 x1☐DK x2☐Ref.
☐	**11b.** What were the total expenses of this (business/practice) in 1985? *(Item 2i, page 47)*	9652 $ ______ . 00 x1☐DK x2☐Ref.	9662 $ ______ . 00 x1☐DK x2☐Ref.
☐	**12.** What was the net income from this business/practice) in 1985? *(Item 2k, page 48)*	9654 $ ______ . 00 x1☐DK x2☐Ref.	9664 $ ______ . 00 x1☐DK x2☐Ref.
☐	**13.** What was this person's share of the net income from all rental property in 1985? *(Item 9d, page 53)*	9670 $ ______ 00 x1☐DK x2☐Ref.	

CHECK ITEM C2 Has an interview been conducted for all household members 15 + ?

5052
1 ☐ Yes — *Enter finish time on cover page, fill cc items 36 and 39 and END INTERVIEW*
2 ☐ No — *Enter finish time for this household member, THEN interview next 15 + household member*

NOTES

CALLBACK SUMMARY

CALLBACK SUMMARY

CHECK ITEM C1 Are any items marked on Reminder Card for . . .? **5000**
1 ☐ Yes — *Mark appropriate item(s) below, then SKIP to Check Item C2*
2 ☐ No — *SKIP to Check Item C2*

☐	**1.** Social Security Number *(Enter in cc item 33)*		☐☐☐-☐☐-☐☐☐☐	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
☐	**2.** Medicare claim number *(item 23, page 8)*	5002 / 5004 / 5005	☐☐☐-☐☐-☐☐☐☐-☐☐			
☐	**3.** EMPLOYER **a.** Employer #1 (item 8a, page 15) What was the total amount of pay received before deductions on this job in . . .?	5006	$ ____ . 00 Last month	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
		5008	$ ____ . 00 2 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
		5010	$ ____ . 00 3 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
		5012	$ ____ . 00 4 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
☐	**b.** Employer #2 (item 16a, page 17) What was the total amount of pay received before deductions on this job in . . .?	5014	$ ____ . 00 Last month	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
		5016	$ ____ . 00 2 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
		5018	$ ____ . 00 3 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
		5020	$ ____ . 00 4 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
☐	**4.** SELF-EMPLOYMENT **a.** Self-employment #1 (item 7, page 19) What was the total amount of income received from this business in . . .?	5022	$ ____ . 00 Last month	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
		5024	$ ____ . 00 2 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
		5026	$ ____ . 00 3 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
		5028	$ ____ . 00 4 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
☐	**b.** Self-employment #2 (item 18, page 21) What was the total amount of income received from this business in . . .?	5030	$ ____ . 00 Last month	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
		5032	$ ____ . 00 2 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
		5034	$ ____ . 00 3 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
		5036	$ ____ . 00 4 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
☐	**5.** What was the average balance in savings/ Money market deposit accounts/ CD's/ NOW accounts held jointly by husband and wife? *(Item 2c, page 40)*		Amounts for the period — ____ through ____			
		5038	$ ____ . 00	x1 ☐ DK	x2 ☐ Ref.	
☐	**6.** What was the average balance in savings/ Money market deposit accounts/ CD's/ NOW accounts in own name? *(Item 3c, page 40)*	5040	$ ____ . 00	x1 ☐ DK	x2 ☐ Ref.	
☐	**7.** What was the average balance in Money market funds/securities/bonds held jointly by husband and wife? *(Item 2c, page 41)*	5042	$ ____ . 00	x1 ☐ DK	x2 ☐ Ref.	
☐	**8.** What was the average balance in Money market funds/securities/bonds in own name? *(Item 3c, page 41)*	5044	$ ____ . 00	x1 ☐ DK	x2 ☐ Ref.	
☐	**9.** What was the amount received in dividends by husband and wife jointly? *(Item 1b, page 42)*	5048	$ ____ . 00	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
☐	**10.** What was the amount received in dividends in own name? (Item 2a, page 42)	5050	$ ____ . 00	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None

CHECK ITEM C2 Has an interview been conducted for all household members 15+? **5052**
1 ☐ Yes — *Enter finish time on cover page, fill cc items 36 and 39 and END INTERVIEW*
2 ☐ No — *Enter finish time for this household member, THEN interview next 15+ household member*

CALLBACK SUMMARY

CHECK ITEM C1 Are any items marked on Reminder Card for . . .?

5000
1 ☐ Yes — *Mark appropriate item(s) below, then SKIP to Check Item C2*
2 ☐ No — *SKIP to Check Item C2*

☐	**1.** Social Security Number *(Enter in cc item 33a)*		☐☐☐ - ☐☐ - ☐☐☐☐	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
☐	**2.** Medicare claim number *(Item 23b, page 8)*	5002	☐☐☐ - ☐☐ - 5004 ☐☐☐☐ - 5005 ☐☐		
☐	**3.** EMPLOYER **a.** Employer #1 *(Item 8a, page 15)* What was the total amount of pay received before deductions on this job in . . .?	5006	$. 00 Last month	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
		5008	$. 00 2 months ago	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
		5010	$. 00 3 months ago	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
		5012	$. 00 4 months ago	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
☐	**b.** Employer #2 *(Item 16a, page 17)* What was the total amount of pay received before deductions on this job in . . .?	5014	$. 00 Last month	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
		5016	$. 00 2 months ago	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
		5018	$. 00 3 months ago	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
		5020	$. 00 4 months ago	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
☐	**4.** SELF-EMPLOYMENT **a.** Self-employment #1 *(Item 7, page 19)* What was the total amount of income received from this business in . . .?	5022	$. 00 Last month	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
		5024	$. 00 2 months ago	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
		5026	$. 00 3 months ago	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
		5028	$. 00 4 months ago	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
☐	**b.** Self-employment #2 *(Item 18, page 22)* What was the total amount of income received from this business in . . .?	5030	$. 00 Last month	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
		5032	$. 00 2 months ago	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
		5034	$. 00 3 months ago	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None
		5036	$. 00 4 months ago	x1 ☐ DK x2 ☐ Ref.	x3 ☐ None

Amounts as of [Month/day/year] (the last day of the reference period)

	Item	Business 1	Business 2
☐	**5.** SELF-EMPLOYMENT **a.** What was the total value of this business before figuring in any debts that might be owed against it?	8850 $. 00 *(Item 11b, page 20)* x1 ☐ DK x2 ☐ Ref. x3 ☐ None 8851 1 ☐ Office Use Only	8852 $. 00 *(Item 22b, page 23)* x1 ☐ DK x2 ☐ Ref. x3 ☐ None 8853 1 ☐ Office Use Only
☐	**b.** What was the total debt owed against this business?	8854 $. 00 *(Item 11d, page 20)* x1 ☐ DK x2 ☐ Ref. x3 ☐ None 8855 1 ☐ Office Use Only	8856 $. 00 *(Item 22d, page 23)* x1 ☐ DK x2 ☐ Ref. x3 ☐ None 8857 1 ☐ Office Use Only

☐	**6.** What was the total amount in savings/Money market deposit accounts/CD's/Interest-earning checking accounts held jointly by husband and wife? *(Item 2c, page 42)*	5038	$. 00 x1 ☐ DK x2 ☐ Ref. x3 ☐ None
☐	**7.** What was the total amount in savings/Money market deposit accounts/CD's/Interest-earning checking accounts in own name? *(Item 3c, page 42)*	5040	$. 00 x1 ☐ DK x2 ☐ Ref. x3 ☐ None
☐	**8.** What was the total amount in Money market funds/securities/bonds held jointly by husband and wife? *(Item 2c, page 43)*	5042	$. 00 x1 ☐ DK x2 ☐ Ref. x3 ☐ None

CALLBACK SUMMARY

CALLBACK SUMMARY (Continued)

☐ **9.** What was the total amount in Money market funds/securities/bonds in own name? *(Item 3c, page 43)*

5044 $ ______ . 00
x1 ☐ DK
x2 ☐ Ref.
x3 ☐ None

Amounts for the 4 month period of [Month] through [Month]

☐ **10.** What was the amount received in dividends jointly by husband and wife during the 4-month period? *(Item 1b, page 44)*

5048 $ ______ . 00
x1 ☐ DK
x2 ☐ Ref.
x3 ☐ None

☐ **11.** What was the amount received in dividends in own name during the 4-month period? *(Item 2a, page 44)*

5050 $ ______ . 00
x1 ☐ DK
x2 ☐ Ref.
x3 ☐ None

Amounts as of [Month/day/year] (the last day of the reference period)

☐ **12.** What was the market value of stocks and mutual funds held jointly by husband and wife? *(Item 4a, page 44)*

8858 $ ______ . 00
x1 ☐ DK
x2 ☐ Ref.
x3 ☐ None
8859 1 ☐ Office Use Only

☐ **13.** What was the market value of stocks and mutual funds held in own name? *(Item 5b, page 45)*

8860 $ ______ . 00
x1 ☐ DK
x2 ☐ Ref.
8861 1 ☐ Office Use Only

☐ **14.** What was the market value of rental property owned jointly by husband and wife? *(Item 2g, page 46)*

8862 $ ______ . 00
x1 ☐ DK
x2 ☐ Ref.
8863 1 ☐ Office Use Only

☐ **15.** What was the market value of rental property owned in own name? *(Item 3g, page 47)*

8864 $ ______ . 00
x1 ☐ DK
x2 ☐ Ref.
8865 1 ☐ Office Use Only

☐ **16.** What was the share of equity in rental property held jointly with others? *(Item 4i, page 48)*

8866 $ ______ . 00
x1 ☐ DK
x2 ☐ Ref.
8867 1 ☐ Office Use Only

☐ **17.** What was the total balance or market value (including interest earned) of IRA accounts? *(Item 5c, page 51)*

8868 $ ______ . 00
x1 ☐ DK
x2 ☐ Ref.

☐ **18.** What was the total balance or market value of assets in KEOGH account(s)? *(Item 6c, page 52)*

8870 $ ______ . 00
x1 ☐ DK
x2 ☐ Ref.

CHECK ITEM C2

Has an interview been conducted for all household members 15+?

5052 1 ☐ Yes — *Enter finish time on cover page, fill cc items 36 and 39 and END INTERVIEW*
2 ☐ No — *Enter finish time for this household member, THEN interview next 15+ household member*

NOTES

CALLBACK SUMMARY

CHECK ITEM C1 Are any items marked on Reminder Card for . . .?

5000 1 ☐ Yes – *Mark appropriate item(s) below, then SKIP to Check Item C2*
2 ☐ No – *SKIP to Check Item C2*

☐ **1.** Social Security Number *(Enter in cc item 33b)*

___ - __ - ____ x1 ☐ DK x2 ☐ Ref. x3 ☐ None

☐ **2.** Medicare claim number *(item 23b, page 8)*

5002 ___ - __ - 5004 ____ - 5005 __

☐ **3.** EMPLOYER

a. Employer #1 *(item 8, page 15)*

What was the total amount of pay received before deductions on this job in . . .?

5006	$	.00	Last month	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
5008	$	.00	2 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
5010	$	.00	3 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
5012	$	.00	4 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None

☐ **b.** Employer #2 *(item 16, page 17)*

What was the total amount of pay received before deductions on this job in . . .?

5014	$	.00	Last month	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
5016	$	.00	2 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
5018	$	.00	3 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
5020	$	.00	4 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None

☐ **4.** SELF-EMPLOYMENT

a. Self-employment #1 *(item 7, page 19)*

What was the total amount of income received from this business in . . .?

5022	$	.00	Last month	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
5024	$	.00	2 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
5026	$	.00	3 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
5028	$	.00	4 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None

☐ **b.** Self-employment #2 *(item 18, page 21)*

What was the total amount of income received from this business in . . .?

5030	$	.00	Last month	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
5032	$	.00	2 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
5034	$	.00	3 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None
5036	$	.00	4 months ago	x1 ☐ DK	x2 ☐ Ref.	x3 ☐ None

Amounts for the period of – ______ through ______

☐ **5.** What was the average balance in savings/Money market deposit accounts/CD's/NOW accounts held jointly by husband and wife? *(Item 2c, page 40)*

5038 $ .00 x1 ☐ DK x2 ☐ Ref.

☐ **6.** What was the average balance in savings/Money market deposit accounts/CD's/NOW accounts in own name? *(Item 3c, page 40)*

5040 $ .00 x1 ☐ DK x2 ☐ Ref.

☐ **7.** What was the average balance in Money market funds/securities/ bonds held jointly by husband and wife? *(Item 2c, page 41)*

5042 $ .00 x1 ☐ DK x2 ☐ Ref.

☐ **8.** What was the average balance in Money market funds/securities/ bonds in own name? *(Item 3c, page 41)*

5044 $ .00 x1 ☐ DK x2 ☐ Ref.

☐ **9.** What was the amount received in dividends by husband and wife jointly? *(Item 1b, page 42)*

5048 $ .00 x1 ☐ DK x2 ☐ Ref. x3 ☐ None

☐ **10.** What was the amount received in dividends in own name ? *(Item 2a, page 42)*

5050 $ .00 x1 ☐ DK x2 ☐ Ref. x3 ☐ None

CALLBACK SUMMARY

CALLBACK SUMMARY (Continued)

Item	Question	Business 1	Business 2
☐ **11a.**	What were the gross receipts of this (business/practice) in 1986? *(Item 2h, page 47)*	9676 $ ______ . 00 x1☐ DK x2☐ Ref.	9682 $ ______ . 00 x1☐ DK x2☐ Ref.
☐ **11b.**	What were the total expenses of this (business/practice) in 1986? *(Item 2i, page 47)*	9678 $ ______ . 00 x1☐ DK x2☐ Ref.	9684 $ ______ . 00 x1☐ DK x2☐ Ref.
☐ **12.**	What was the net income from this business/practice) in 1986? *(Item 2k, page 48)*	9680 $ ______ . 00 x1☐ DK x2☐ Ref.	9686 $ ______ . 00 x1☐ DK x2☐ Ref.
☐ **13.**	What was this person's share of the net income from all rental property in 1986? *(Item 9d, page 53)*	9688 $ ______ 00 x1☐ DK x2☐ Ref.	

CHECK ITEM C2

Has an interview been conducted for all household members 15 + ?

5052

1 ☐ Yes — *Enter finish time on cover page, fill cc items 36 and 39 and END INTERVIEW*

2 ☐ No — *Enter finish time for this household member, THEN interview next 15 + household member*

NOTES